



# Facility type and volume impact outcomes in acute myeloid leukemia—a National Cancer Database Study

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**Background:** Outcomes of patients with newly diagnosed acute myeloid leukemia (AML) differ based on academic affiliation and case volume. The primary objective examined the impact of treatment facility type, and volume on time to treatment (TTT). Secondary objectives evaluated these same facility characteristics on overall survival (OS), receipt of chemotherapy, allogeneic hematopoietic stem cell transplant (HSCT) and/or palliative care.

**Methods:** This was a population-based retrospective cross-sectional study using the National Cancer Database (NCDB) from January 1, 2004 to December 31, 2016. Participants were newly diagnosed AML patients >18.

**Results:** Among 124,988 patients, TTT was shorter at all facility types compared to community cancer programs [comprehensive community cancer programs: hazard ratio (HR) 1.21, 95% confidence interval (CI) 1.17–1.26; academic centers: HR 1.17, 95% CI: 1.13–1.22; integrated network cancer programs: HR 1.29, 95% CI: 1.24–1.34] ( $P < 0.001$  for all). Low volume facilities had shorter TTT than high volume facilities (HR 1.05, 95% CI: 1.04–1.07,  $P < 0.001$ ). OS was higher at academic centers compared to all other facility types (HR 0.90, 95% CI: 0.87–0.93,  $P < 0.001$ ), and worse at low compared to high volume facilities (HR 1.14, 95% CI: 1.12–1.16,  $P < 0.001$ ). Patients at academic centers had 2.44 (95% CI: 2.29–2.60) and 5.56 (95% CI: 4.05–7.64) higher odds of treatment with chemotherapy and allogeneic HSCT respectively ( $P < 0.001$ ). Low volume facilities had a greater likelihood of palliative care (OR 1.25, 95% CI: 1.12–1.40,  $P < 0.001$ ). Additionally, race, ethnicity, Charlson/Deyo score, and socioeconomic factors influenced outcomes of interest.

**Conclusions:** Our findings emphasize the need to optimize care in all treatment facilities for better patient outcomes.

**Keywords:** Acute myeloid leukemia (AML); healthcare disparities; quality of healthcare; socioeconomic factors; treatment outcomes

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## Introduction

Acute myeloid leukemia (AML) affects older patients (1) and is associated with a poor prognosis with a 5-year survival of approximately 30% (2). Demographic and socioeconomic factors impact treatment utilization and outcomes of AML patients (3-5). Prior studies have demonstrated that lower socioeconomic status, female sex, uninsured or Medicare insurance status, older age, comorbidities, being unmarried, Black race, and Hispanic ethnicity are linked to decreased utility of chemotherapy and hematopoietic stem cell transplant (HSCT) (5-10). Lower overall survival (OS) has been associated with Black race, being a Medicaid beneficiary, uninsured, single, divorced, less educated, and residing in a county within the lower 3 quintiles of median household income (4,11-16). Additionally, treatment facility characteristics are known to impact survival. Resource rich facilities have more favorable patient outcomes, like short-term mortality rates (17-20). The purpose of our study using the National Cancer Database (NCDB) was to determine whether characteristics of the treating facility affect outcomes. Our primary objective was to assess how facility type and volume impact time to treatment (TTT). Secondary objectives examined the impact of these hospital characteristics on OS and receipt of chemotherapy,

allogeneic HSCT and/or palliative care. We hypothesized that academic centers and high-volume facilities have shorter TTT, better OS, and higher utilization rates of induction chemotherapy, allogeneic HSCT, and palliative care. We present this article in accordance with the STROBE reporting checklist (available at <https://ace.amegroups.com/article/view/10.21037/ace-22-12/rc>).

## Methods

### Data source and patient selection

This was a retrospective cross-sectional study of hospital-based data from the NCDB, a joint project of the Commission on Cancer (CoC) of the American College of Surgeons and the American Cancer Society. The study was exempt from review by the Georgetown University Medical Center Institutional Review Board as the dataset was existing, publicly available, and de-identified. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Using NCDB participant user file data from reporting facilities on five hematologic malignancies (AML, acute lymphocytic leukemia, chronic lymphocytic leukemia, chronic myeloid leukemia, and multiple myeloma), from 453,027 patients, we identified 124,988 with AML over the age of 18 (*Figure 1*). Data available at the time of our study was a convenience sample of hospitalized patients diagnosed from January 1, 2004 to December 31, 2016. International Classification of Diseases for Oncology version 3 codes 9840–9861, 9865–9874, 9891–9931 captured patients of interest and included patients with acute monocytic leukemia and AML but excluded acute promyelocytic leukemia. For the evaluation of our outcomes of interest, missing data were excluded as outlined in *Figure 1*.

### Variables

The variables abstracted included facility characteristic data (facility type and hospital volume), sex, age, race, Hispanic origin, education (adults without a high school diploma), distance traveled for therapy (great circle distance), urban/rural location of reporting facility, insurance type (including Medicaid expansion status state group), and Charlson/Deyo score (21). The Charlson/Deyo score was a weighted score (0 to  $\geq 3$ ), computed from the sum of scores of different comorbidities. Myocardial infarction, congestive heart failure, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, rheumatologic

### Highlight box

#### Key findings

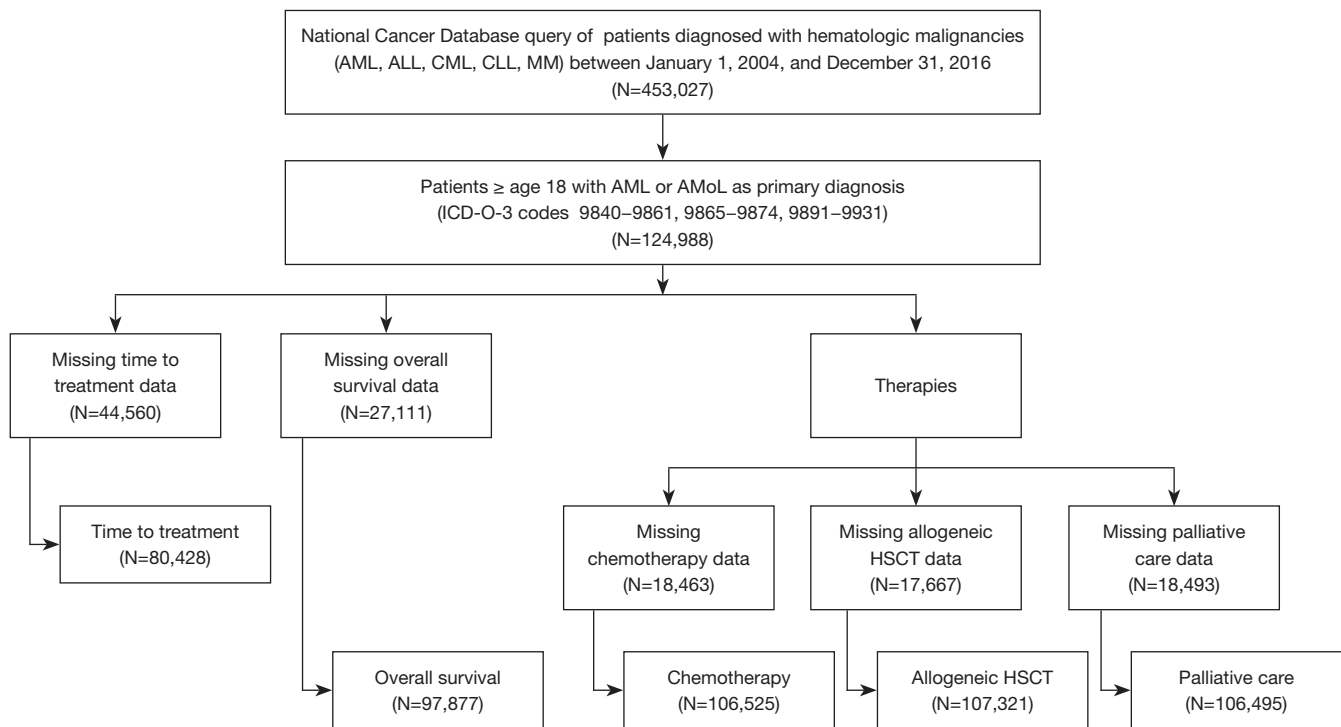
- Academic centers and high volume facilities had better OS, and a greater likelihood of treatment with chemotherapy and allogeneic HSCT.
- Black race and Hispanic ethnicity were associated with differences in TTT, OS, and receipt of different therapies, with largely worse outcomes.

#### What is known and what is new?

- Treatment facility characteristics impact outcomes, and resource rich facilities have greater experience with managing treatment related complications, and access to novel treatment options like clinical trials.
- Shorter TTT, observed at low volume facilities, did not necessarily lead to improved clinical outcomes. Palliative care was more likely at low volume compared to high volume facilities.

#### What is the implication, and what should change now?

- Differences exist in outcomes in AML patients based on treatment facility type, volume, and racial/ethnic background. It is imperative to increase access at multiple levels to ensure equitable care for all AML patients.



**Figure 1** Cohort derivation and missing data. From 453,027 patients with five different hematologic malignancies (AML, ALL, CML, CLL and MM), there were 124,988 patients with AML or AMoL included in our cohort. For our three main outcomes, time to treatment, overall survival, and receipt of three different therapies [chemotherapy, allogeneic HSCT, and palliative care], there was data missing as follows: time to treatment—44,560; overall survival—27,111; chemotherapy—18,463; allogeneic HSCT—17,667; palliative care—18,493. Further analyses were performed after the exclusion of missing data. ICD-O, International Classification of Diseases for Oncology; AML, acute myeloid leukemia; ALL, acute lymphocytic leukemia; CML, chronic myeloid leukemia; CLL, chronic lymphocytic leukemia; MM, multiple myeloma; AMoL, acute monocytic leukemia; HSCT, hematopoietic stem cell transplant.

disease, peptic ulcer disease, mild liver disease, and diabetes each were assigned a score of 1. A score of 2 was assigned to diabetes with chronic complications, hemiplegia/paraplegia, and renal disease, a score of 3 for moderate or severe liver disease, and finally a score of 6 for acquired immunodeficiency syndrome (AIDS). Education was derived from area-based metrics (zip code) rather than being patient specific. Urban/rural classification was defined using the typology published by the USDA Economic Research Service. Metropolitan counties were determined by population size, and nonmetropolitan counties by degree of urbanization and adjacency to metropolitan areas.

Facility types were defined by the CoC, based on the number of newly diagnosed cases/year. Community cancer programs had 100–500 newly diagnosed cancer cases/year, comprehensive community cancer programs  $\geq 500$  newly diagnosed cancer cases/year, academic centers  $>500$  newly

diagnosed cancer cases/year and post-graduate medical education, and integrated network cancer programs were multiple facilities that came together to provide integrated cancer care to treat a variable number of newly diagnosed cancer cases/year. Using the distribution of cases reported by each facility over the period, high volume facilities were defined as those with case volumes in the top 1 percentile (greater than or equal to the 99<sup>th</sup> percentile), as in prior NCDB work (22). All other facilities were classified as low volume facilities. In our study, the cutoff for high volume facilities corresponded to those treating  $\geq 662$  patients.

### Outcomes

The primary outcome was TTT, and secondary outcomes were OS and receipt of chemotherapy, allogeneic HSCT, and/or palliative care. Chemotherapy was defined as

systemic and cytotoxic antileukemia drugs. Palliative care was defined as any care provided to alleviate symptoms without curative intent and included systemic therapy (chemotherapy, immunotherapy), and/or pain management therapy. TTT was defined as the number of days between the date of diagnosis and the date on which any treatment (systemic, curative, or palliative intent) began at any facility. OS was based on the number of days, weeks, or months from diagnosis to last contact/death and vital status at last contact (alive or deceased).

### Statistical analysis

Time-to-event endpoints including TTT, and OS were compared across different facility types and volumes using the Log-rank test and Kaplan-Meier Method. For the multivariable adjusted analyses, a Cox proportional hazard model was used adjusting for demographic and socioeconomic confounding variables listed above in the variables section. These confounding variables were considered to ensure internal validity of our study and give appropriate estimates of the association between our exposure and outcome variables. Binary outcomes including receipt of chemotherapy, allogeneic HSCT, and/or palliative care were assessed with logistic regression using unadjusted and adjusted models with the same confounders. We performed additional subgroup analyses, and evaluated our outcome of interest with respect to the number of CoC facilities where care was received, age (<60 and ≥60), and academic center volume (high versus low). We also did a time dependent analyses, and the year of diagnosis was used for creation of subgroups. Odds ratios (ORs) and hazard ratios (HRs) were presented with 95% confidence intervals (CIs). A two-sided  $P \leq 0.05$  was considered statistically significant. No multiple test adjustment was applied. All statistical analysis was done using R (version 4.0.3).

## Results

### Baseline characteristics

There were 124,988 AML patients with a median age of 63 years (range, 18–90 years). There were 68,043 (54%) males, 107,363 (86%) White and 110,712 (89%) non-Hispanic patients. The median age of diagnosis was earliest among Hispanic Whites at 55 years, followed by Hispanic Blacks at 57 years, non-Hispanic Blacks at 60 years, and

latest for non-Hispanic Whites at 67 years. There were 54,961 patients (44%) treated at academic centers, and 98,983 (79%) patients received treatment at low volume facilities. A Charlson/Deyo score of 0 or no comorbid conditions was found in 88,553 (71%) patients. Additionally, 101,039 (81%) patients lived in metro counties, and 35,606 (28%) lived in areas where 6.3–10.8% of adults did not graduate from high school. The most common type of insurance was Medicare possessed by 62,076 patients, 50% of the cohort. There were 95,345 (76%) patients who received chemotherapy. Palliative care and allogeneic HSCT were offered to 4,111 (3%) and 5,651 (5%) patients respectively ( $P < 0.001$  for all, *Table 1*).

### TTT

Median TTT was longest at community cancer programs at 7 days (95% CI: 6–7 days), and shortest at integrated network cancer programs at 4 days (95% CI: 4–4 days) ( $P < 0.0001$ , *Figure 2A*). This was consistent in our multivariable adjusted analysis, with all facilities having shorter TTT than community cancer programs (academic centers: HR 1.17, 95% CI: 1.13–1.22; comprehensive community cancer programs: HR 1.21, 95% CI: 1.17–1.26; integrated network cancer programs: HR 1.29, 95% CI: 1.24–1.34) ( $P < 0.001$  for all, *Table 2*). For volume, similar median TTT was noted at 5 days for high volume facilities (95% CI: 5–5) and 4 days (95% CI: 4–4 days) at low volume facilities ( $P < 0.0001$ , *Figure 2B*). However, after adjusting for demographic and socioeconomic factors, the multivariable analysis found low volume facilities had statistically significant shorter TTT than high volume facilities (HR 1.05, 95% CI: 1.04–1.07,  $P < 0.001$ , *Table 2*).

Other factors that influenced TTT included race, ethnicity, and county of residence. Among Blacks (HR 0.95, 95% CI: 0.93–0.97,  $P < 0.001$ , *Table 2*) and Hispanics (HR 0.95, 95% CI: 0.92–0.98,  $P = 0.035$ , *Table 2*), TTT was longer when compared with Whites and non-Hispanics respectively. Shorter TTT was seen with residence in urban (HR 1.09, 95% CI: 1.07–1.11,  $P < 0.001$ , *Table 2*) and rural (HR 1.14, 95% CI: 1.09–1.20,  $P < 0.001$ , *Table 2*) counties compared to metro counties.

There were no major differences in TTT based on number of CoC programs where care was received (=1 versus >1), age (<60 versus ≥60), and academic center volume (high versus low volume). Additionally, there were no major differences noted in a time dependent analyses from 2004–2007, 2008–2011, and 2012–2016.

**Table 1** Baseline characteristics of 124,988 acute myeloid leukemia patients over 18 years old from the National Cancer Database from 2004–2016

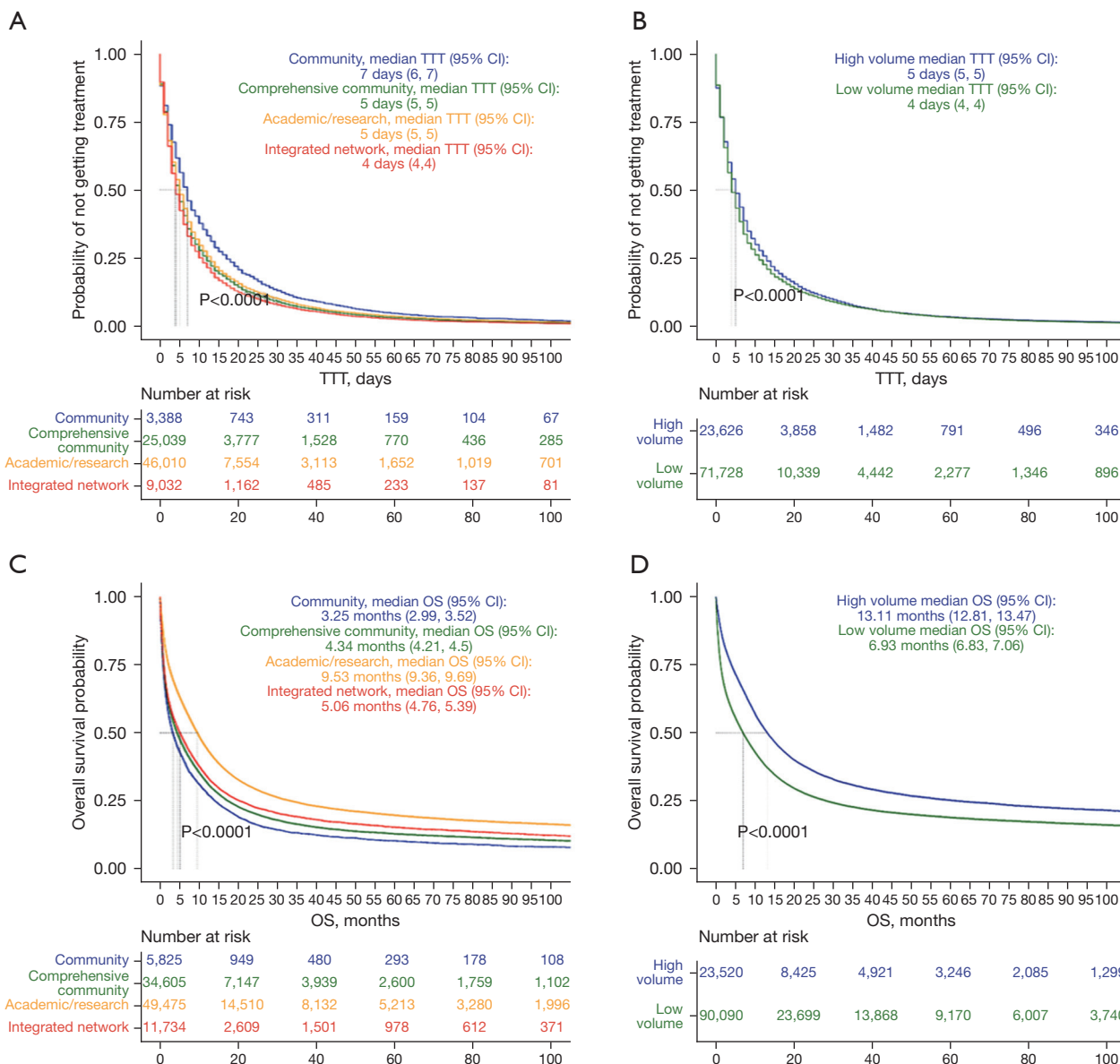
Variables	Values	P value
Age at diagnosis (years), median [range]	63 [18–90]	
Sex, n (%)		<0.001
Male	68,043 (54.0)	
Female	56,945 (46.0)	
Race, n (%) <sup>†</sup>		<0.001
White	107,363 (86.0)	
Black/African American	10,877 (9.0)	
Native Hawaiian and other Pacific Islander	929 (0.7)	
American Indian and Alaska Native	415 (0.3)	
Asian	2824 (2.0)	
Other	1,088 (0.9)	
Unknown	1,492 (1.1)	
Hispanic origin, n (%)		<0.001
Non-Hispanic	110,712 (89.0)	
Hispanic	7,841 (6.0)	
Unknown	6,435 (5.0)	
No high school diploma, n (%)		<0.001
<6.3%	30,873 (25.0)	
6.3–10.8%	35,606 (28.0)	
10.9–17.5%	31,550 (25.0)	
≥17.6%	25,186 (20.0)	
Unknown	1,773 (2.0)	
Urban/rural residence, n (%) <sup>‡</sup>		<0.001
Metro counties	101,039 (81.0)	
Urban counties	18,320 (14.0)	
Rural counties	2,343 (2.0)	
Unknown	3,286 (3.0)	
Charlson/Deyo score, n (%)		<0.001
0	88,553 (71.0)	
1	24,579 (20.0)	
2	7,930 (6.0)	
≥3	3,926 (3.0)	
Great circle distance (miles), median [range]	46 [0–5,077]	<0.001

**Table 1** (continued)

Table 1 (continued)

Variables	Values	P value
Facility type, n (%)		<0.001
Academic Center	54,961 (44.0)	
Comprehensive Community Cancer Program	37,757 (30.0)	
Integrated Network Cancer Program	12,840 (10.0)	
Community Cancer Program	6,351 (5.0)	
Unknown	13,079 (11.0)	
Facility case volume, 99 <sup>th</sup> percentile cutoff [range]	662 [1–1,819]	<0.001
Facility volume, n (%)		<0.001
Low volume	98,983 (79.0)	
High volume	26,005 (21.0)	
Insurance status, n (%)		<0.001
Medicare	62,076 (50.0)	
Private insurance	44,111 (35.0)	
Medicaid	9,315 (8.0)	
Uninsured	4,050 (3.0)	
Unknown	3,856 (3.0)	
Other government	1,580 (1.0)	
Medicaid expansion status state group, n (%) <sup>§</sup>		<0.001
Non-expansion state	42,607(34.0)	
January 2014 expansion state	34,721 (28.0)	
Early expansion state (2010–2013)	18,900 (15.0)	
Late expansion state (after January 2014)	15,681 (13.0)	
Suppressed (age <40)	13,079 (10.0)	
Treatments, n (%)		<0.001
Chemotherapy	95,345(76.0)	
Allogeneic hematopoietic stem cell transplant	5,651 (5.0)	
Palliative care	4,111 (3.0)	
Other <sup>¶</sup>	2,638 (2.0)	
Unknown	17,243 (14.0)	

<sup>†</sup>, race categorizations based on US Census Bureau. <sup>‡</sup>, urban/rural categorization: Metro counties: counties in metro areas with populations of 250,000–1,000,000; Urban counties: urban population of 2,500–20,000 both adjacent and non-adjacent to a metro area; Rural counties: completely rural or <2,500 urban population both adjacent and non-adjacent to a metro area. <sup>§</sup>, Medicaid Expansion Status State Group: Non-expansion states: Tennessee, North Carolina, Idaho, Georgia, Florida, Missouri, Alabama, Mississippi, Kansas, Texas, Wisconsin, Utah, South Carolina, South Dakota, Virginia, Oklahoma, Nebraska, Wyoming, Maine; Jan 2014 expansion states: Kentucky, Nevada, Colorado, Oregon, New Mexico, West Virginia, Arizona, Rhode Island, Maryland, Massachusetts, North Dakota, Ohio, Iowa, Illinois, Vermont, Hawaii, New York, Delaware; Early expansion states (2010–2013): Washington, California, New Jersey, Minnesota, District of Columbia, Connecticut; Late expansion states (after Jan 2014): New Hampshire, Indiana, Michigan, Pennsylvania, Arkansas, Montana, Louisiana. <sup>¶</sup>, other treatments included immunotherapy and autologous transplant. Treatments were not mutually exclusive.



**Figure 2** Differences in TTT across different facility types and volumes (A,B) and OS across different facility types and volumes (C,D). (A) Median TTT was longest at community cancer programs at 7 days, and shortest at integrated network cancer programs at 4 days ( $P < 0.0001$ ); (B) similar median TTT was observed at 5 and 4 days at high and low volume facilities respectively ( $P < 0.0001$ ); (C) median OS was longest at academic centers at 9.53 months, and shortest at community cancer programs at 3.25 months ( $P < 0.0001$ ); (D) high volume facilities had better OS at 13.11 months compared to low volume facilities at 6.93 months ( $P < 0.0001$ ). TTT, time to treatment; CI, confidence interval; OS, overall survival.

**OS**

Median OS was the longest at academic centers at 9.53 months (95% CI: 9.36–9.69 months), and shortest at community cancer programs at 3.25 months (95% CI: 2.99–3.52 months) ( $P < 0.0001$ , *Figure 2C*). The multivariable

analysis demonstrated improvement in OS only at academic centers when compared to all facility types (HR 0.90, 95% CI: 0.87–0.93,  $P < 0.001$ , *Table 3*). High volume facilities had better median OS than low volume facilities [13.11 months (95% CI: 12.81–13.47 months); 6.93 months (95% CI:

**Table 2** Impact of facility type, volume, sex, age, race and socioeconomic factors on time to treatment

Variable	Time to treatment	
	HR, 95% CI	P value
Facility type		
Comprehensive Community versus Community	1.21, 1.17–1.26	<0.001
Academic versus Community	1.17, 1.13–1.22	<0.001
Integrated Network versus Community	1.29, 1.24–1.34	<0.001
Facility volume		
Low versus high volume	1.05, 1.04–1.07	<0.001
Sex		
Female versus male	1.03, 1.02–1.05	<0.001
Age		
	0.99, 0.99–0.99	<0.001
Race		
Black/African American versus White	0.95, 0.93–0.97	<0.001
Native Hawaiian and other Pacific Islander versus White	1.09, 1.00–1.18	0.049
American Indian and Alaska Native versus White	0.89, 0.79–1.00	0.052
Asian versus White	1.04, 0.99–1.10	0.087
Others versus White	0.94, 0.87–1.02	0.130
Unknown versus White	1.03, 0.96–1.11	0.350
Hispanic origin		
Hispanic versus non-Hispanic	0.95, 0.92–0.98	0.035
Unknown versus non-Hispanic	1.04, 1.00–1.07	0.031
No high school diploma		
10.9–17.5% versus $\geq 17.6\%$	0.98, 0.96–1.01	0.150
6.3–10.8% versus $\geq 17.6\%$	1.01, 0.99–1.04	0.180
<6.3% versus $\geq 17.6\%$	1.01, 0.99–1.04	0.220
Urban/rural		
Urban versus metro	1.09, 1.07–1.11	<0.001
Rural versus metro	1.14, 1.09–1.20	<0.001
Charlson/Deyo score		
1 versus 0	1.12, 1.10–1.14	<0.001
2 versus 0	1.13, 1.10–1.17	<0.001
$\geq 3$ versus 0	1.10, 1.05–1.14	<0.001
Great circle distance	0.9998, 0.9998–0.9999	<0.001

HR, hazard ratio; CI, confidence interval.



6.83–7.06 months),  $P < 0.0001$ , *Figure 2D*]. This was consistent in the multivariable analyses with worse OS at low volume facilities (HR 1.14, 95% CI: 1.12–1.16,  $P < 0.001$ , *Table 3*).

Race, ethnicity, Charlson/Deyo score, education and female sex impacted OS. Blacks had worse OS (HR 1.06, 95% CI: 1.04–1.09,  $P < 0.001$ , *Table 3*). A Charlson/Deyo score of  $\geq 3$  was associated with the worst OS (score of 1 HR of 1.23, 95% CI: 1.21–1.25; score of 2 HR of 1.47, 95% CI: 1.43–1.51; score of  $\geq 3$  HR of 1.72, 95% CI: 1.66–1.79,  $P < 0.001$  for all, *Table 3*). Residence in areas with the greatest levels of education (HR 0.86, 95% CI: 0.85–0.88), Hispanic ethnicity (HR 0.86, 95% CI: 0.83–0.89), and female sex (HR 0.97, 95% CI: 0.96–0.98) improved OS ( $P < 0.001$ , *Table 3*).

In patients treated at  $>1$  CoC program, OS was worse at integrated network cancer programs (HR 1.17, 95% CI: 1.07–1.29,  $P < 0.001$ ). Among patients  $<60$  years, better OS was noted at academic centers and integrated network cancer programs (academic centers HR 0.89, 95% CI: 0.82–0.97,  $P < 0.001$ ; integrated network cancer programs HR 0.90, 95% CI: 0.81–0.98,  $P < 0.022$ ). No major differences were noted in OS in the time dependent analyses from 2004–2007, 2008–2011, and 2012–2016.

#### ***Receipt of chemotherapy, allogeneic HSCT, and/or palliative care***

Academic centers had 2.44 (95% CI: 2.29–2.60,  $P < 0.001$ ) and 5.56 (95% CI: 4.05–7.64,  $P < 0.001$ ) higher odds of chemotherapy and allogeneic HSCT respectively (*Table 4*). Patients at low volume facilities had 1.25 higher odds of palliative care (95% CI: 1.12–1.40,  $P < 0.001$ , *Table 4*) and a lower likelihood of receiving both chemotherapy and allogeneic HSCT (chemotherapy OR 0.41, 95% CI: 0.39–0.44,  $P < 0.001$ ; allogeneic HSCT OR 0.60, 95% CI: 0.55–0.64,  $P < 0.001$ ; *Table 4*).

Racial and ethnic differences were observed with Blacks having lower odds of receiving both chemotherapy and allogeneic HSCT (chemotherapy OR 0.83, 95% CI: 0.78–0.88; allogeneic HSCT OR 0.42, 95% CI: 0.36–0.49,  $P < 0.001$ , *Table 4*) compared to Whites. Hispanics were less likely to receive both allogeneic HSCT and palliative care (allogeneic HSCT OR 0.72, 95% CI: 0.62–0.83,  $P < 0.001$ ; palliative care OR 0.77, 95% CI: 0.63–0.93,  $P = 0.061$ , *Table 4*) compared to non-Hispanics. Higher education increased the likelihood of chemotherapy (OR 1.23, 95% CI: 1.17–1.29,  $P < 0.001$ , *Table 4*) and allogeneic HSCT (OR 1.92, 95% CI: 1.73–2.13,  $P < 0.001$ , *Table 4*). Increasing age

(OR 1.06, 95% CI: 1.05–1.06,  $P < 0.001$ ) and Charlson/Deyo scores (score of 1 OR of 1.32, 95% CI: 1.22–1.42; score of 2 OR of 1.54, 95% CI: 1.38–1.71; score of  $\geq 3$  OR 1.75, 95% CI: 1.52–2.01;  $P < 0.001$  for all) were associated with a higher likelihood of palliative care (*Table 4*).

Treatment at  $>1$  CoC program was associated with a higher likelihood of palliative care (OR 1.82, 95% CI: 1.13–2.92,  $P = 0.013$ ). In those over age 60, Black patients had a decreased likelihood of chemotherapy and palliative care (chemotherapy OR 0.89, 95% CI: 0.83–0.95,  $P < 0.001$ ; palliative care OR 0.83, 95% CI: 0.71–0.97,  $P < 0.018$ ). However, Hispanics were more likely to receive chemotherapy (OR 1.15, 95% CI: 1.06–1.26,  $P = 0.002$ ) and less likely to receive palliative care (OR 0.75, 95% CI: 0.61–0.93,  $P = 0.007$ ). There were no major differences in receipt of different treatments in our time dependent analyses from 2004–2007, 2008–2011, and 2012–2016.

## **Discussion**

Our study demonstrated differences in TTT, OS, receipt of chemotherapy, allogeneic HSCT and/or palliative care by facility type and volume. In summary, TTT was shorter everywhere when compared to community cancer programs, and at low compared to high volume facilities. Improved OS was seen at academic centers and high volume facilities. Academic centers had a higher likelihood of treatment with chemotherapy and allogeneic HSCT. Palliative care was more likely at low volume facilities. Black patients experienced longer TTT, worse OS and a lower likelihood of treatment with chemotherapy and allogeneic HSCT. Hispanics had longer TTT, but better OS, and were less likely to be treated with allogeneic HSCT and palliative care. There were no differences in our outcomes of interest in time dependent analyses. These results highlight the impact of facility characteristics, demographic, and socioeconomic variables on outcomes in patients with AML, and the need to minimize these discrepancies to optimize patient care.

TTT was shorter at low volume facilities, possibly due to a lower likelihood of using molecular and cytogenetic testing prior to treatment (23). Urgent treatment of AML has historically been favored, but recent data suggests delaying treatment in clinically stable patients while awaiting results of molecular and cytogenetic testing does not have a significant impact on outcomes (24,25). The specific duration to wait without negatively impacting outcomes remains unclear but relates in part to the

**Table 3** Impact of facility type, volume, sex, age, race and socioeconomic factors on overall survival

Variable	Overall survival	
	HR, 95% CI	P value
Facility type		
Comprehensive Community versus Community	1.01, 0.98–1.04	0.530
Academic versus Community	0.90, 0.87–0.93	<0.001
Integrated Network versus Community	0.99, 0.96–1.03	0.670
Facility volume		
Low versus high volume	1.14, 1.12–1.16	<0.001
Sex		
Female versus male	0.97, 0.96–0.98	<0.001
Age		
	1.05, 1.04–1.05	<0.001
Race		
Black/African American versus White	1.06, 1.04–1.09	<0.001
Native Hawaiian and other Pacific Islander versus White	0.90, 0.82–0.99	0.036
American Indian and Alaska Native versus White	1.01, 0.89–1.16	0.860
Asian versus White	0.88, 0.84–0.93	<0.001
Others versus White	0.83, 0.75–0.91	<0.001
Unknown versus White	1.04, 0.97–1.11	0.300
Hispanic origin		
Hispanic versus non-Hispanic	0.86, 0.83–0.89	<0.001
Unknown versus non-Hispanic	1.06, 1.03–1.09	0.019
No high school diploma		
10.9–17.5% versus $\geq 17.6\%$	0.97, 0.95–1.00	0.019
6.3–10.8% versus $\geq 17.6\%$	0.94, 0.92–0.96	<0.001
<6.3% versus $\geq 17.6\%$	0.86, 0.85–0.88	<0.001
Urban/rural		
Urban versus metro	1.01, 0.99–1.03	0.180
Rural versus metro	1.04, 0.99–1.10	0.093
Charlson/Deyo score		
1 versus 0	1.23, 1.21–1.25	<0.001
2 versus 0	1.47, 1.43–1.51	<0.001
$\geq 3$ versus 0	1.72, 1.66–1.79	<0.001
Great circle distance	0.9999, 0.9998–0.9999	<0.001

HR, hazard ratio; CI, confidence interval.

**Table 4** Impact of facility type and volume, on receipt of chemotherapy, allogeneic hematopoietic stem cell transplant and palliative care

Variables	Chemotherapy		Allogeneic hematopoietic stem cell transplant		Palliative care	
	OR, 95% CI	P value	OR, 95% CI	P value	OR, 95% CI	P value
<b>Facility type</b>						
Comprehensive Community versus Community	1.47, 1.38–1.56	<0.001	2.29, 1.66–3.16	<0.001	0.98, 0.86–1.11	0.780
Academic versus Community	2.44, 2.29–2.60	<0.001	5.56, 4.05–7.64	<0.001	0.91, 0.8–1.04	0.190
Integrated Network versus Community	1.71, 1.59–1.84	<0.001	3.83, 2.75–5.32	<0.001	1.11, 0.96–1.28	0.160
<b>Facility volume</b>						
Low versus high volume	0.41, 0.39–0.44	<0.001	0.60, 0.55–0.64	<0.001	1.25, 1.12–1.40	<0.001
<b>Sex</b>						
Female versus male	0.90, 0.87–0.92	<0.001	1.01, 0.95–1.07	0.810	1.06, 0.99–1.13	0.071
<b>Age</b>						
	0.92, 0.92–0.92	<0.001	0.93, 0.93–0.93	<0.001	1.06, 1.05–1.06	<0.001
<b>Race</b>						
Black/African American versus White	0.83, 0.78–0.88	<0.001	0.42, 0.36–0.49	<0.001	0.90, 0.79–1.03	0.130
Native Hawaiian and other Pacific Islander versus White	1.10, 0.89–1.37	0.370	0.64, 0.44–0.93	0.019	1.06, 0.67–1.66	0.800
American Indian and Alaska Native versus White	0.96, 0.70–1.31	0.790	0.93, 0.55–1.55	0.770	1.23, 0.67–2.27	0.500
Asian versus White	1.02, 0.91–1.15	0.710	0.95, 0.78–1.15	0.600	0.78, 0.59–1.04	0.094
Others versus White	1.04, 0.85–1.27	0.710	0.39, 0.25–0.62	<0.001	0.74, 0.45–1.22	0.240
Unknown versus White	0.80, 0.69–0.92	0.026	0.78, 0.56–1.09	0.150	0.69, 0.47–1.01	0.056
<b>Hispanic origin</b>						
Hispanic versus non-Hispanic	1.07, 0.99–1.16	0.088	0.72, 0.62–0.83	<0.001	0.77, 0.63–0.93	0.061
Unknown versus non-Hispanic	0.84, 0.78–0.9	<0.001	0.62, 0.51–0.75	<0.001	0.82, 0.71–0.96	0.014
<b>No high school diploma</b>						
10.9–17.5% versus ≥17.6%	1.06, 1.01–1.11	0.012	1.22, 1.10–1.36	0.025	1.14, 1.02–1.26	0.015
6.3–10.8% versus ≥17.6%	1.12, 1.07–1.17	<0.001	1.52, 1.37–1.68	<0.001	1.18, 1.06–1.30	0.018
<6.3% versus ≥17.6%	1.23, 1.17–1.29	<0.001	1.92, 1.73–2.13	<0.001	1.09, 0.98–1.22	0.100
<b>Urban/rural</b>						
Urban versus metro	1.11, 1.06–1.16	<0.001	0.92, 0.84–1.02	0.110	1.24, 1.13–1.36	<0.001
Rural versus metro	1.16, 1.03–1.29	0.012	0.95, 0.73–1.23	0.710	1.20, 0.96–1.49	0.110
<b>Charlson/Deyo score</b>						
1 versus 0	0.88, 0.84–0.91	<0.001	0.75, 0.69–0.82	<0.001	1.32, 1.22–1.42	<0.001
2 versus 0	0.68, 0.64–0.72	<0.001	0.50, 0.41–0.60	<0.001	1.54, 1.38–1.71	<0.001
≥3 versus 0	0.57, 0.53–0.61	<0.001	0.35, 0.25–0.47	<0.001	1.75, 1.52–2.01	<0.001
<b>Great circle distance</b>						
	1.0003, 1.0001–1.0004	0.055	1.0003, 1.0002–1.0005	0.083	0.9999, 0.9996–1.0002	0.360

OR, odds ratio; CI, confidence interval.

presence or absence of infection, leukostasis, tumor lysis, or coagulopathy. Sekeres *et al.* examined rates of complete remission and OS in AML patients  $\leq 60$  and  $>60$  years of age, and worse outcomes were observed with longer TTT ( $\geq 5$  days) among patients in the younger cohort even after adjustments for cytogenetics, risk groups and performance status, but not in patients  $>60$  years (26,27).

Previous studies demonstrated improvements in survival at academic facilities, and NCI designated centers (28-32). Similarly, we saw improved survival at academic centers and high volume facilities. We postulate that there may be greater access to novel treatment options like clinical trials and HSCT, a multi-disciplinary treatment approach with subspecialty services and ancillary staff, and overall greater comfort with managing treatment related complications at such facilities (19,33). AML patients treated with cytarabine-anthracycline induction chemotherapy at hospitals with a high volume of patients receiving induction chemotherapy, were more likely to undergo bone marrow assessments and to receive antibacterial, antifungal and antiviral medications (18).

Historically, patients with hematologic malignancies were less likely to receive palliative care compared to those with solid tumors (34). Integrated palliative care during induction chemotherapy in AML patients improves patient reported and end of life outcomes (35,36). We found a higher likelihood of palliative care services at low volume facilities. This is most likely due to some overlap between low volume facilities, and community cancer centers who frequently care for patients who may favor not pursuing curative therapy, or any therapy at all, and thus treatment is classified as palliative care.

Racial and ethnic differences in access to treatments and outcomes in patients with AML persists and are important to understand (6,37-46). Black patients were noted to be less likely to receive allogeneic HSCT to the same extent as White patients (37). Non-Hispanic Black adolescent and young adult (AYA) patients treated on frontline Cancer and Leukemia Group B/Alliance for Clinical Trials in Oncology protocols have higher early death rates, lower rates of complete remission, and lower OS, compared to White patients (47). Clinical trial participation, associated with improved outcomes, was found to be disproportionately higher among Non-Hispanic Whites compared to other racial and ethnic groups (48). We found longer TTT among Blacks and Hispanics, worse OS among Blacks, lower odds of receiving chemotherapy and allogeneic HSCT among Blacks, and lower odds of allogeneic HSCT and palliative

care among Hispanics. These poorer outcomes likely reflect the persistent treatment gap and the need to continue to seek equity for these groups. Future studies should focus on gaining insight, perhaps through qualitative work, into factors that influence treatment decisions, and ultimately outcomes in these groups.

Interestingly, Hispanics had better OS compared to non-Hispanics despite the exclusion of acute promyelocytic leukemia, a subtype of AML over-represented among patients of Hispanic origin, and associated with improved outcomes recently due to early recognition and, development of targeted therapies (49). Additionally, in our cohort, Hispanics were diagnosed at a younger age than non-Hispanics, which is consistent with prior work (50). Reasoning behind the observed difference in survival among Hispanics with limited access to treatment options is unknown but has been previously described in the literature as the "Hispanic Mortality Paradox" (51,52). Perhaps the younger age at diagnosis among Hispanics allows for tolerance of more intense treatments. It is worth noting that this survival benefit is not widespread from a geographic location and age perspective. Prior work demonstrates that along the US-Mexico border, in those  $<35$ , Hispanic Whites in border regions had greater cancer mortality compared to non-Hispanic Whites in border regions (53). Looking specifically at AML, along the Texas-Mexico border, Hispanics along the border had worse OS than the rest of the state (50). Potential factors described as conferring a survival benefit have included social, psychological, and behavioral differences among Hispanics, and the immigrant phenomenon which confers better outcomes in foreign born compared to US born Hispanics (52,54).

With respect to limitations, our retrospective study design allowed us to make associations but limited our ability to conclude causations and increased the possibility of recall bias. Given the hospital based nature of the NCDB, there was selection bias since we could not elucidate the degree to which patients were referred to specific facilities based on individual clinical characteristics, which ultimately could impact our observed outcomes. With the hospital-based nature of the data, there was no access to patient information for insight into specific treatment decisions including enrollment in clinical trials. Additionally, without molecular/cytogenetic details to stratify risk, we were unable to determine the impact on outcomes. Without disease specific mortality, we could not determine if death was due to AML, related complications, or alternate causes. The 99<sup>th</sup> percentile was used as our cutoff for high versus

low volume facilities since it corresponded to 662 patients, but with establishing this discrete cutoff, we were unable to demonstrate how our outcomes changed progressively with incremental changes in volume. Furthermore, some of these differences, while statistically significant, may not be clinically significant considering the hazard ratio of only slightly greater than 1. Data spanned a wide time frame from 2004–2016, and earlier years in this period may not be representative of the recent advancements in molecular diagnostics and treatment options that have been FDA approved since 2017. Lastly, since the data was derived from the NCDB that includes designated CoC facilities, smaller local facilities that do not qualify for this designation are underrepresented, limiting generalizability to such institutions.

## Conclusions

Our study demonstrated significant differences in TTT, OS, and receipt of chemotherapy, allogeneic HSCT, and/or palliative care by facility type and volume. These findings suggest a clinically important discrepancy in care available at academic versus non-academic centers and at low versus high volume facilities. AML largely affects an older population, and care at academic and high volume settings is not always feasible or desirable for these patients. Our data support the need for further understanding of the differences between facility types and development of ways to optimize care at non-academic and low volume facilities. Additionally, there remains important racial and ethnic differences seen among Blacks and Hispanics that require continued efforts to ensure greater access to treatment to all members of the community.

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This work was exempt from review by the Georgetown University Medical Center Institutional Review Board as the dataset was existing, publicly available, and de-identified.

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