

# Low expression of BTN3A3 indicates poor prognosis and promotes cell proliferation, migration and invasion in non-small cell lung cancer

Xu Cheng<sup>1</sup>, Tianyu Ma<sup>1</sup>, Ling Yi<sup>2</sup>, Chongyu Su<sup>1</sup>, Xiaojue Wang<sup>2</sup>, Tao Wen<sup>1</sup>, Bing Wang<sup>1</sup>, Yuxuan Wang<sup>1</sup>, Hongtao Zhang<sup>2</sup>, Zhidong Liu<sup>1</sup>

<sup>1</sup>No. 2 Department of Thoracic Surgery, Beijing Tuberculosis and Thoracic Tumor Research Institute/Beijing Chest Hospital, Capital Medical University, Beijing, China; <sup>2</sup>Department of Central Laboratory, Beijing Tuberculosis and Thoracic Tumor Research Institute/Beijing Chest Hospital, Capital Medical University, Beijing, China

**Contributions:** (I) Conception and design: Z Liu, H Zhang, X Cheng; (II) Administrative support: None; (III) Provision of study materials or patients: T Ma, C Su; (IV) Collection and assembly of data: X Cheng, T Ma, L Yi; (V) Data analysis and interpretation: X Wang, T Wen; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

**Correspondence to:** Zhidong Liu. No. 2 Department of Thoracic Surgery, Beijing Tuberculosis and Thoracic Tumor Research Institute/Beijing Chest Hospital, Capital Medical University, No. 9 Beiguan Street, Tongzhou District, Beijing 101149, China; Email: liuzhidong\_xk@126.com; Hongtao Zhang. Department of Central Laboratory, Beijing Tuberculosis and Thoracic Tumor Research Institute/Beijing Chest Hospital, Capital Medical University, No. 9 Beiguan Street, Tongzhou District, Beijing 101149, China. Email: zhtbeijing@163.com.

**Background:** The butyrophilin (BTN) family has many members with diverse functions related to immunomodulation, initiation and progression of tumors. BTN3A3 belongs to the BTN family, and exploring its expression and correlation with the prognosis of non-small cell lung cancer (NSCLC) patients has great clinical significance.

**Methods:** Clinical specimens were used to detect BTN3A3 expression. Small interfering RNA (siRNA) was used to knock down BTN3A3 and analyze the proliferative, migratory and invading ability of the transfected NSCLC cells. Multiplex immunofluorescence staining was used to detect the expression of BTN3A3 protein in the tumor microenvironment (TME). We analyzed the relationship between the expression of BTN3A3 and the clinicopathological features and prognosis of NSCLC patients.

**Results:** The expression of BTN3A3 in NSCLC tissues was significantly lower than in adjacent tissues, and patients with low expression of BTN3A3 had late clinical stages and lower degree of tumor differentiation. Knocking down BTN3A3 promoted the proliferation, migration and invasion of NSCLC. In the TME, the density of BTN3A3+ tumor cells positively correlated with the density of CD8+ T cells, and the patients with low expression of BTN3A3 had poor overall survival (OS).

**Conclusions:** Changes in the BTN3A3 expression level may play a potential key role in the carcinogenesis and development of NSCLC. Patients with low expression of BTN3A3 showed a more aggressive and invasive phenotype and a lower level of CD8+ T-cell infiltration, which may be an important factor affecting the OS of NSCLC patients.

**Keywords:** Non-small cell lung cancer (NSCLC); BTN3A3; tumor microenvironment (TME); multiplex immunofluorescence staining; prognosis

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## 1 Introduction

2 Lung cancer is a malignant tumor with the highest  
3 morbidity and mortality worldwide (1), and non-small cell  
4 lung cancer (NSCLC) accounts for 85% of cases (2). With  
5 the application of low-dose spiral computed tomography  
6 screening, the detection rate of early-stage lung cancer has  
7 improved, but many patients are still in an advanced or  
8 locally advanced stage at the time of diagnosis (3), which  
9 has a poor prognosis and survival rate. Therefore, it has  
10 always been the goal of clinicians to find specific biomarkers  
11 for earlier diagnosis and evaluate their prognostic value for  
12 lung cancer.

13 Butyrophilin (BTN) was first found in milk and is a  
14 type I transmembrane protein of an immunoglobulin (Ig)  
15 superfamily (4). The BTN family of genes, located at 6p22.1,  
16 is a group of major histocompatibility complex (MHC)  
17 related genes, which encode type I transmembrane proteins  
18 containing two extracellular Ig domains and an intracellular  
19 B30.2 domain. The three subfamilies of the human BTN  
20 gene are located in the MHC I region, and can be divided  
21 into *BTN1*, *BTN2* and *BTN3*, which each comprise seven  
22 genes, including *BTN1A1*, *BTN2A1*, *BTN2A2*, *BTN2A3*,  
23 *BTN3A1*, *BTN3A2* and *BTN3A3* (5). The basic structure  
24 of the extracellular domain of the *BTN* family is the same  
25 as the members of the co-stimulatory and coinhibitory  
26 molecules family (6). Therefore, BTN family molecules are  
27 considered to be B7 family-related proteins. The receptor-  
28 ligand interactions between members of the B7 family  
29 are widely involved in immune regulation, such as the  
30 interactions between B7.1 (CD80), B7.2 (CD86) and T-cell  
31 surface receptors CD28 and CTLA-4, which initiate T-cell  
32 activation and inhibition signals, respectively. Programmed  
33 death receptor-ligand 1 (PD-L1/CD274), PD-L2 (CD273)  
34 and its receptor PD-1 (CD279) are T-cell coinhibitory  
35 molecules (7-9). The BTN and B7 families share the same  
36 origin (10), suggesting that the BTN family may have  
37 similar immunomodulatory functions. Previous study had  
38 shown that the *BTN3A1* protein plays a key role in the  
39 activation of  $\gamma\delta$  T cells. By targeting the *BTN3A1* receptor,  
40 the immunosuppression of  $\alpha\beta$  T cells can be achieved and  
41  $\gamma\delta$  T cells can be activated cooperatively (11). In addition,  
42 BTN family members have many other functions and are  
43 widely involved in the occurrence of various human diseases.  
44 The rs1979 locus of the *BTN3A2* gene is most significantly  
45 associated with schizophrenia. Abnormal expression of  
46 *BTN3A2* affects the balance of neuronal excitatory and  
inhibitory synaptic transmission activity, which increases the

risk of schizophrenia (12). The expression levels of *BTN1A1*,  
*BTN2A2*, *BTN3A3* change significantly in inflammatory  
bowel disease (13). In addition, the *BTN3A* subfamily has  
been confirmed to be expressed on the surface of a variety of  
tumor cells (10,14). Recent studies have shown that specific  
single nucleotide polymorphisms in *BTN3A3* and *BTN3A2*  
increase the risk of ovarian cancer and gastric cancer,  
respectively (15,16). The expression of *BTN3A3* can predict  
the overall survival (OS) of patients with gastric cancer who  
are treated with fluorouracil-based chemotherapy (17). In  
the tumor microenvironment (TME) of breast cancer, the  
LSECtin protein located on the surface of tumor-associated  
macrophages can promote the stemness of tumor cells by  
binding to *BTN3A3* receptors on the surface of breast cancer  
stem cells (18).

BTN family members have diverse functions, which  
are related to the immunomodulation, initiation and  
progression of tumors. *BTN3A3* is the membrane protein  
A3 of the third BTN subfamily. Compared with other  
BTN family members, there are few studies on *BTN3A3*,  
and research is still in the initial stage. In particular, the  
expression of *BTN3A3* in NSCLC and its possible function  
have not been reported so far. Therefore, in-depth study  
of the expression of *BTN3A3* in NSCLC, and its influence  
on the tumor's biological behavior with expression changes  
and its potential role in the TME has great significance  
in understanding the occurrence and development  
mechanisms of NSCLC and the interactions between tumor  
and immune cells.

We present the following article in accordance with the  
REMARK reporting checklist (available at <http://dx.doi.org/10.21037/atm-21-163>).

## Methods

### Patients

Patients with primary NSCLC who were treated in the  
Department of Thoracic Surgery of Beijing Chest Hospital  
affiliated with Capital Medical University from January  
2013 to December 2015 were included in this study.  
Frozen and paraffin-embedded tissue blocks of primary  
tumor specimens were obtained for experiments. Specific  
inclusion criteria were: (I) first diagnosis of NSCLC;  
(II) positive histopathological diagnostic results; (III)  
chemotherapy and radiotherapy not performed before  
surgery. The exclusion criteria are: (I) insufficient tumor  
tissue; (II) complicated with other malignant tumors;

(III) incomplete clinical or follow-up data. Tumor staging was according to the 8th edition of the American Joint Commission on Cancer (AJCC) staging system (19,20), and the subtypes of NSCLC were classified according to the WHO guidelines (21). Patients in the study were followed up for survival and the OS was calculated according to the time period between the date of operation and the date of death or the last follow-up. This study was approved by the Ethics Committee of Beijing Chest Hospital (No. YJS-2021-010). All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). Informed consent was taken from all the patients.

#### **RNA preparation and quantitative real-time Polymerase Chain Reaction (rt-qPCR)**

RNA extraction reagent (RNAprep Pure Tissue Kit, RNAprep Pure, DP431) from the TianGen Company (China) was applied to extract the total RNA from NSCLC and adjacent tissues following the manufacturer's instructions. First-strand cDNA synthesis was performed using Fast Quant RT Kit With gDNase (KR106; TianGen Co., Beijing, China). rt-qPCR was performed with a Roche Light Cycler 480 system, using QuantiNova SYBR Green PCR Kit (QIAGEN). The primer for *BTN3A3* were as follows: forward 5'-GCCCTCTCAAACCTGCGG-3' and reverse 5'-AGGACACAGTAACGCCATTCA-3'. Primers for glyceraldehyde 3-phosphate dehydrogenase (GAPDH) were as follows: forward 5'-TCAAGAAGGTGGTGAAGCAGG-3' and reverse 5'-GCGTCAAAGGTGGAGGAGTG-3'. The primers were synthesized by Sangon Biotech Company (Shanghai, China). All PCR tests were repeated at least three times.

#### **Western blotting**

Cells were lysed by RIPA buffer (P0013B, Beyotime, Shanghai, China), and the protein concentration was determined by BCA Protein Quantification Kit (PC0020, Solarbio, Beijing, China). After separation by 12% SDS polyacrylamide gel, the corresponding proteins were moved to polyvinylidene difluoride (PVDF) membranes (Millipore, IPVH00010, Billerica, MA, USA). Primary antibodies specific to *BTN3A3* (dilution 1:5,000, ab251692, abcam, Shanghai, China) were applied,  $\beta$ -actin (dilution 1:5,000, 20536-I-AP, proteintech, Chicago, USA) was used as the control.

#### **Cell culture and transfection**

Normal human bronchial epithelial cell line (16HBE), human lung adenocarcinoma cell lines (A549, H2009 and H1975) and human lung squamous cell carcinoma cell lines (H226, SK-MES-1 and H1703) were preserved in the Central Laboratory and the Department of Cellular and Molecular Biology of Beijing Chest Hospital. The cells were cultured in RPMI-1640 complete culture medium (EallBio, China) at 37 °C in a 5% CO<sub>2</sub> incubator. The small interfering RNA (siRNA) transfection was performed using Lipofectamine 2000 (Invitrogen, Carlsbad, CA, USA). The knockdown effect was validated by rt-qPCR and western blot. Sequences of siRNA used for transfection were as follows: *BTN3A3* siRNA sense: 5'-GCAACAACCAAUCAGAACCAUTT-3', *BTN3A3* siRNA antisense: 5'-AUGGUUCUGAUUGGUUGUUGCTT-3'. *BTN3A3* siRNA negative control (NC) sense: 5'-UUCUCCGAACGUGUCACGUTT-3', *BTN3A3* siRNA-NC anti sense: 5'-ACGUGACACGUUCGGAGAATT-3'.

#### **Scratch wound assay**

The blank control group (mock), the siRNA negative control group (NC) and the siRNA knock-down group (siRNA) cells in logarithmic growth phase were seeded in 6-well plates and cultured overnight in RPMI-1640 complete medium. After being scratched with plastic tips, the cells were cultured in serum-free medium. Wound closure was viewed at 48 h.

#### **Transwell assay**

Transwell chambers with 6.5-mm aperture were purchased from Corning (NY, USA). Three groups of cells were seeded in the upper chambers and 10% fetal bovine serum (FBS)-RPMI-1640 was added to the lower chambers. After culture for 24 h, 4% paraformaldehyde was used to fix for 20 min, and gentian violet was used to stain for 20 min. Finally, the number of migrated cells was counted under a light microscope.

#### **Colony formation test**

Three groups of cells in logarithmic growth phase were prepared for cell suspension, seeded in 6-well plates and cultured in 10% FBS-RPMI-1640 medium at a density of 1,000 cells at 37 °C in a 5% CO<sub>2</sub> incubator for 2 weeks. The

187 culture was terminated when the clonal cell cluster became  
 188 visible by naked eye. Four percent paraformaldehyde was  
 189 used to fix for 20 min, and gentian violet was used to stain  
 190 for 20 min. Clone formation rate = (number of clones  
 191 formed/number of cells inoculated) ×100%.

### 192 *CCK-8 proliferation assay*

DEMO 194 The CCK-8 cell proliferation detection kit was purchased  
 195 from EallBio Company (China). Three groups of cells  
 196 in logarithmic growth phase were prepared for cell  
 197 suspension, seeded in 96-well plates and cultured in 10%  
 198 FBS-RPMI-1640 medium at a density of 8,000 cells at 37  
 199 °C in a 5% CO<sub>2</sub> incubator for 24, 48, and 72 h. Next, 10  
 200 μL of CCK-8 reagent was added 2 h before each detection  
 201 time point and incubated without light for 2 h. The optical  
 202 density (OD) was measured at a wavelength of 450 nm.

### 203 *Tissue microarray construction*

205 Paraffin-embedded tissue blocks of primary tumor  
 206 specimens were used to construct tissue microarray (TMA)  
 207 sections. Importantly, the blocks had to contain enough  
 208 tumor tissue for the TMA construction. Duplicate 1.5-mm  
 209 tissue cores were randomly taken from the tumor area in  
 210 the paraffin-embedded tissue blocks. TMAs containing the  
 211 tissue cores were then cut into 4-μm sections for multiplex  
 212 immunofluorescence staining.

### 213 *Multiplex immunofluorescence staining and analysis*

216 To identify the tumor cells expressing *BTN3A3*  
 217 and other immune markers in the TME, multiplex  
 218 immunofluorescence staining was performed using  
 219 Tyramide Signal Amplification Plus Fluorescence kits  
 220 (PANO 6-plex IHC Kit, Panovue, Beijing, China).  
 221 Different primary antibodies were sequentially applied,  
 222 followed by secondary antibody incubation and tyramide  
 223 signal amplification. Between each step, the slides were  
 224 washed with TBST (Tris-buffered saline + Tween 20)  
 225 solution buffer three times for 3 min each time. The TMA  
 226 slides were treated with microwave heat-antigen retrieval  
 227 with citric acid buffer (pH 6.0) after each TSA operation.  
 228 Nuclei were stained with 4'-6'-diamidino-2-phenylindole  
 229 after all the antibodies had been labeled. The stained slides  
 230 were scanned by the Vectra system (PerkinElmer), and the  
 231 multispectral images were analyzed by InForm software  
 232 2.4.8 (PerkinElmer).  
 233

### *Statistical analysis*

Differences in means for continuous variables were  
 compared using Student's t test, and the differences in  
 categorical variables between groups were compared using  
 the  $\chi^2$  test. Survival curves were plotted using the Kaplan-  
 Meier method. The log-rank test was used to identify the  
 prognostic factors of OS. Univariate and multivariate Cox  
 regression models evaluated the hazard ratio (HRs) with  
 95% confidence intervals (CI) for OS. The variables with  
 statistical significance in the univariate analysis (P<0.05)  
 were included in the multivariate analysis. Spearman's  
 rank correlation was used to analyze the correlation of  
 quantitative data. All statistical analyses were conducted  
 with IBM SPSS Statistics (Version 23.0), and the survival  
 curves were drawn by GraphPad Prism v.8.0. Bilateral P  
 value <0.05 was considered to be statistically significant.

## **Results**

### *Detection of *BTN3A3* expression in clinical specimens*

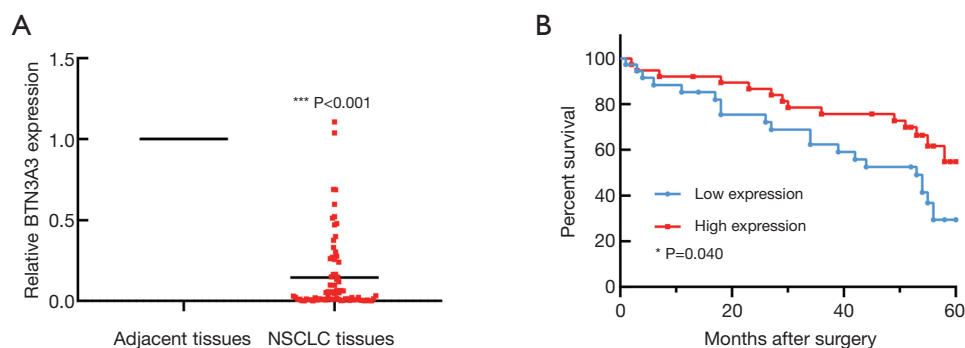
A total of 75 patients were included in the study and their  
 general clinical characteristics are shown in Table S1. Total  
 RNA was extracted from the tumor and adjacent tissues  
 of NSCLC patients for rt-qPCR detection. The results  
 showed that the expression level of *BTN3A3* in tumor  
 tissues was significantly lower than in adjacent tissues, and  
 the difference was statistically significant (P<0.001). The  
 relative expression level of *BTN3A3* in tumor and adjacent  
 tissues is shown in Figure 1A.

### *Relationship between *BTN3A3* expression and patients' OS*

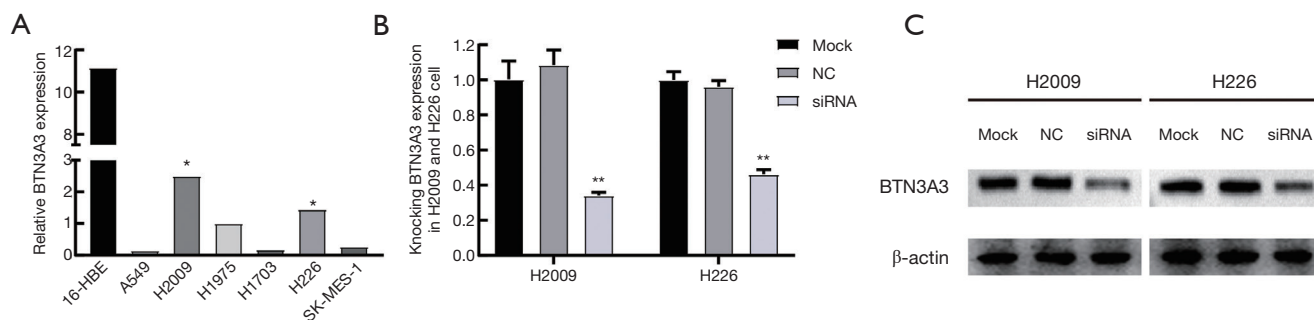
*BTN3A3* expression and survival time of the 75 patients was  
 analyzed. According to the expression level of *BTN3A3* in  
 tumor tissues, the patients were divided into two groups:  
 high expression (N=37) and low expression (N=38). The  
 results showed that the OS of patients with low *BTN3A3*  
 expression was significantly shorter than for those with  
 high *BTN3A3* expression (P=0.040). Kaplan-Meier survival  
 curves were plotted to represent survival in the two groups  
 (Figure 1B).

### *Expression of *BTN3A3* in NSCLC cell lines*

Total RNA was extracted from 16HBE and 6 NSCLC cell  
 lines for rt-qPCR detection. The results showed that the



**Figure 1** Association of expression level of *BTN3A3* with prognosis of NSCLC patients. (A) *BTN3A3* expression decreased significantly in NSCLC tissues compared with adjacent tissues. (B) Low expression level of *BTN3A3* was associated with poor overall survival of NSCLC patients. \* $P < 0.05$ , \*\*\* $P < 0.001$ . NSCLC, non-small cell lung cancer.



**Figure 2** *BTN3A3* gene expression in different NSCLC cell lines and verification of the effect of siRNA knockdown on expression. (A) Relative expression level of *BTN3A3* detected by rt-qPCR: expression level significantly decreased in human NSCLC cell lines. (B) rt-qPCR detection of the expression of *BTN3A3* in mock, NC and siRNA group cells. (C) Western blot detection of the expression of *BTN3A3* protein in mock, NC and siRNA group cells. \* $P < 0.05$ , \*\* $P < 0.01$ . NSCLC, non-small cell lung cancer; NC, negative control; siRNA, small interfering RNA; rt-qPCR, quantitative real-time PCR;

281 expression level of *BTN3A3* in the NSCLC cell lines was  
 282 significantly lower than in the 16HBE cell line ( $P < 0.05$ ).  
 283 In the three lung adenocarcinoma cell lines, the expression  
 284 level of *BTN3A3* in H2009 was significantly higher than in  
 285 the H1975 and A549 cell lines ( $P < 0.05$ ), and in the three  
 286 lung squamous cell carcinoma cell lines, the expression level  
 287 was significantly higher in H226 than in the SK-MES-1 and  
 288 H1703 cell lines ( $P < 0.05$ ) (Figure 2A).

289

#### 290 *Transfection with siRNA for knock down of BTN3A3* 291 *expression in NSCLC cell lines*

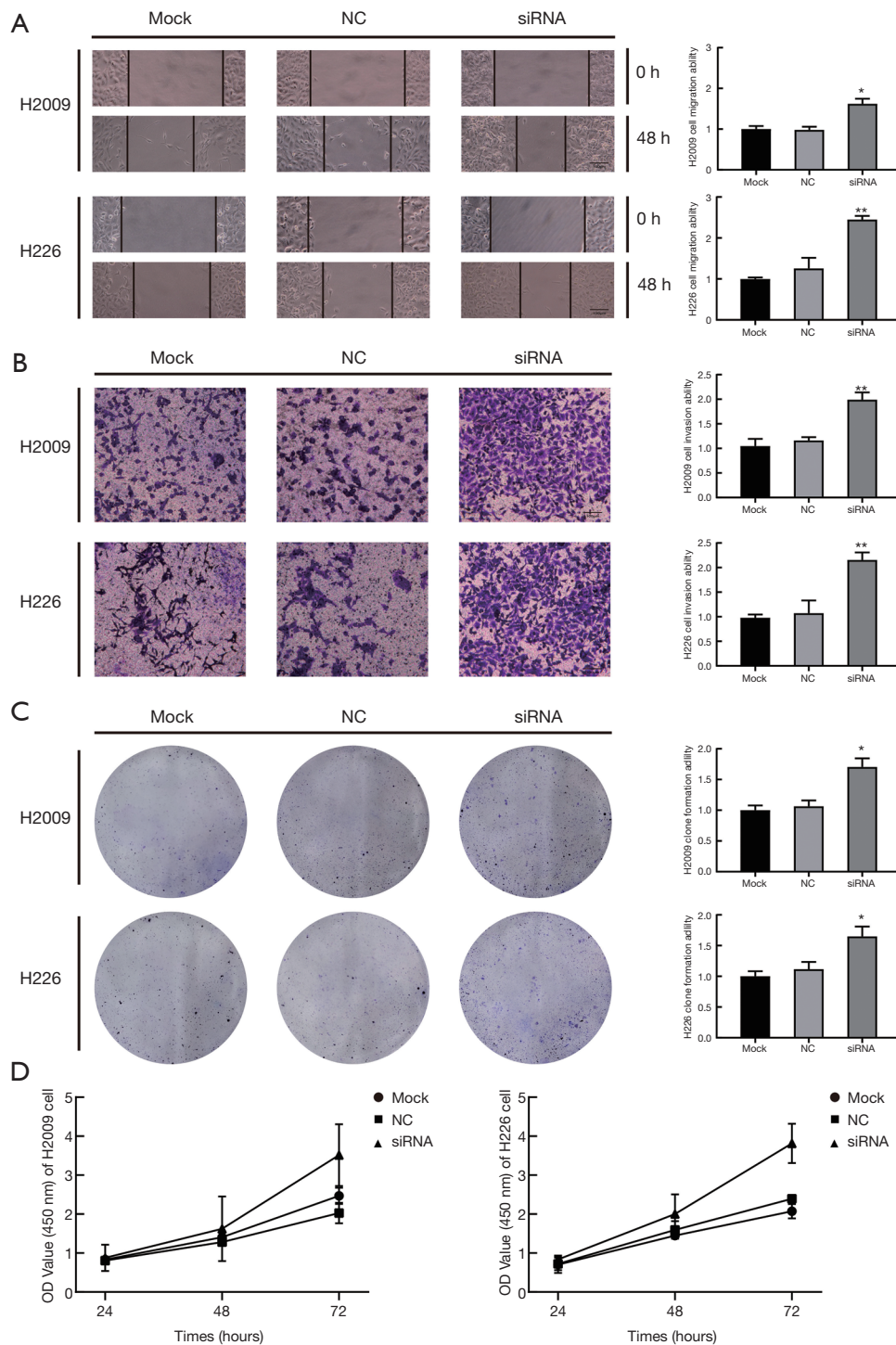
292 The siRNA was transfected into the H2009 and H226 cell  
 293 lines, and rt-qPCR was used to evaluate the efficiency of  
 294 siRNA knockdown. The results showed that the expression  
 295 level of *BTN3A3* in the siRNA transfection group was  
 296

297 significantly lower than in the mock and NC group ( $P < 0.05$ )  
 298 (Figure 2B). The total protein of the siRNA, NC, and  
 299 mock group cells was extracted for western blot assay, and  
 300 the expression of *BTN3A3* protein in the two siRNA-  
 301 transfected cell lines was significantly decreased (Figure 2C).

302

#### 303 *Effects of low expression of BTN3A3 on migration and* 304 *invasion*

305 The siRNA was transfected into the H2009 and H226  
 306 cell lines to verify the migratory and invading abilities of  
 307 NSCLC cells. The results showed that the migratory ability  
 308 of the two siRNA groups was significantly higher than  
 309 that of the mock and NC group ( $P < 0.05$ ) (Figure 3A). And  
 310 the invading ability of cells transfected with siRNA was  
 DEMO significantly enhanced ( $P < 0.05$ ) (Figure 3B).



**Figure 3** Effects of expression level of *BTN3A3* on the proliferation, migration and invasion of NSCLC cells in the H2009 and H226 cell lines. Results for (A) migration ( $\times 200$ ), (B) invasion (gentian violet,  $\times 200$ ), (C) clone formation (gentian violet,  $\times 200$ ) and (D) proliferation. \* $P < 0.05$ , \*\* $P < 0.01$ . NSCLC, non-small cell lung cancer; NC, negative control; siRNA, small interfering RNA

### 311 *Effects of low expression of **BTN3A3** on cell proliferation* DEMO *and clone formation*

312 The siRNA was transfected into the H2009 and H226  
 313 cell lines to verify the proliferatory and cloning abilities of  
 314 NSCLC cells. The results showed that the cloning ability  
 315 of the two siRNA groups was significantly higher than that  
 DEMO of the mock and NC group ( $P<0.05$ ) (Figure 3C). And cell  
 317 proliferation was significantly enhanced in the siRNA group  
 DEMO ( $P<0.05$ ) (Figure 3D).

### 318 *Significance of **BTN3A3**, **CD4**, **CD8**, **CD68**, and **FoxP3*** 319 *expression with clinicopathological characteristics*

320 Multiplex immunofluorescence staining was used to detect  
 321 immune-related markers' expression levels in TMA slides  
 322 for the NSCLC patients. 88 patients were qualified to  
 323 be analyzed and finally included in the study (Figure 4).  
 324 According to the density of **BTN3A3**+ tumor cells, the 88  
 325 patients were divided into two groups. The results showed  
 326 that in clinical I+II stage, the number of patients with high  
 327 expression of **BTN3A3** (31/44, 70.5%) were significantly  
 328 higher than those with low expression (19/44, 43.2%), and  
 329 the difference was statistically significant ( $P=0.010$ ). Among  
 330 the poorly differentiated tumors, the number of patients with  
 331 low expression of **BTN3A3** (22/44, 50.0%) were significantly  
 332 higher than those with high expression (12/44, 27.3%), and  
 333 the difference was statistically significant ( $P=0.029$ ). The  
 334 general clinical characteristics of these patients and detailed  
 335 results are shown in Table S2. We also quantified the density  
 336 of **BTN3A3**+ tumor cells and infiltrating immune cells,  
 337 and analyzed their relationship with the clinicopathological  
 338 characteristics of the patients. The results showed that the  
 339 density of **BTN3A3**+ tumor cells was significantly higher  
 340 in patients with earlier clinical stage ( $P=0.033$ ) (Figure 5A)  
 341 and with higher degree of tumor differentiation ( $P=0.048$ )  
 342 (Figure 5B); The density of **CD8**+ T cells in the tumor area  
 343 was significantly higher in patients with earlier clinical tumor  
 344 T stage ( $P=0.035$ ); The density of **FoxP3**+ T cells in the  
 345 tumor area was significantly higher in the late clinical stage  
 346 ( $P=0.030$ ), whereas the density of **CD8**+ T cells in the stroma  
 347 was significantly higher in patients with earlier clinical tumor  
 348 T stage ( $P=0.030$ ). Detailed results are shown in Table 1.

### 351 *Correlation between density of **BTN3A3**+ tumor cells,* 352 ***CD68**+ macrophages, and **CD4**+, **CD8**+ and **FoxP3**+ T cells*

353 **BTN3A3**+ tumor cell density positively correlated with  
 354  
 355

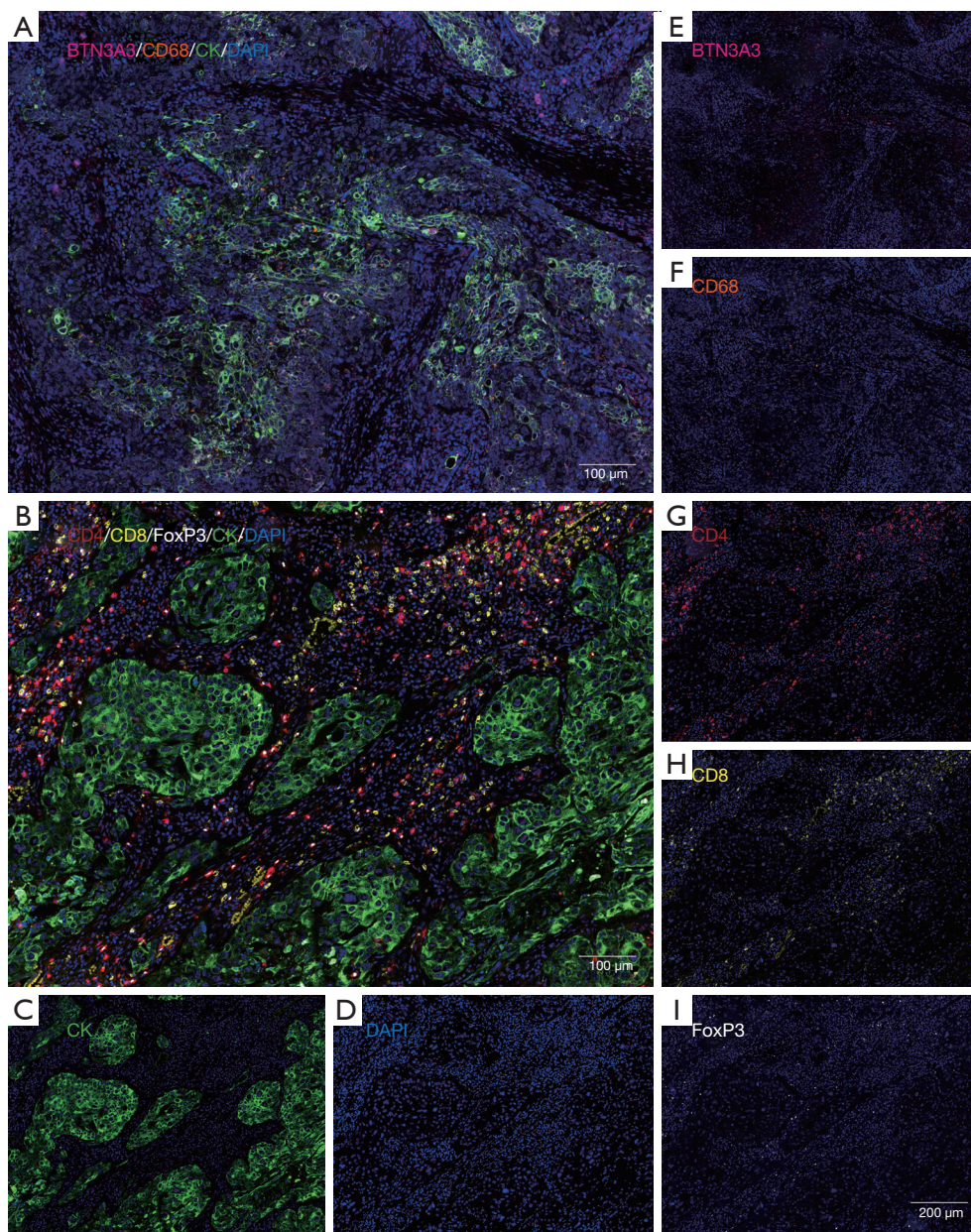
**CD8**+ T-cell density in the tumor area ( $r=0.265$ ,  $P=0.012$ ) 356  
 (Figure 6A). **CD68**+ macrophage density in the tumor area 357  
 positively correlated with **CD4**+ T-cell density in the tumor 358  
 area ( $r=0.322$ ,  $P=0.002$ ) and **CD68**+ macrophage density in 359  
 the stroma ( $r=0.447$ ,  $P<0.001$ ). **CD4**+ T-cell density in the 360  
 tumor area positively correlated with **CD8**+ T-cell density 361  
 in the tumor area ( $r=0.322$ ,  $P=0.002$ ), and with **CD4**+ T-cell 362  
 density ( $r=0.573$ ,  $P<0.001$ ), **CD8**+ T-cell density ( $r=0.281$ , 363  
 $P=0.008$ ), and **FoxP3**+ T-cell density ( $r=0.238$ ,  $P=0.026$ ) in 364  
 the stroma. **CD8**+ T-cell density in the tumor area positively 365  
 correlated with **CD4**+ T-cell density ( $r=0.224$ ,  $P=0.036$ ) and 366  
 the **CD8**+ T-cell density ( $r=0.558$ ,  $P<0.001$ ) in the stroma. 367  
 And the **FoxP3**+ T-cell density in the tumor area positively 368  
 correlated with the **FoxP3**+ T-cell density in the stroma 369  
 ( $r=0.352$ ,  $P=0.001$ ). Detailed results are shown in Table 2. 370

### 371 *Effects of clinicopathological features and TME on OS*

372 The 88 patients were followed up for 60 months, during 373  
 which 67 patients died, giving a mortality rate of 76.14%. 374  
 The relationships between prognosis and clinicopathological 375  
 features, TME immune markers (**BTN3A3**+ tumor cell density, 376  
 tumor and stromal immune cell densities), radiotherapy and 377  
 chemotherapy were analyzed. Univariate analysis showed that OS 378  
 was significantly prolonged in patients aged  $\leq 60$  years ( $P=0.034$ ), 379  
 with earlier tumor T stage ( $P=0.005$ ), without lymph node metastasis 380  
 ( $P<0.001$ ), with earlier clinical stage ( $P<0.001$ ), without postoperative 381  
 radiotherapy ( $P=0.049$ ), with higher **BTN3A3**+ tumor cell 382  
 density ( $P=0.041$ ) (Figure 6B), and with higher **CD8**+ 383  
 T-cell density ( $P<0.041$ ) (Figure 6C) in the tumor area. The 384  
 difference was statistically significant. Detailed results are 385  
 shown in Table S3. 386  
 387  
 388  
 389  
 390

### 391 **Discussion**

392 In this study, we used clinical tumor specimens to detect 393  
 the expression of **BTN3A3**, and the results showed that its 394  
 expression in tumor tissues was significantly lower than 395  
 in adjacent tissues, which preliminarily indicates that a 396  
 change in **BTN3A3** expression may play a key role in the 397  
 carcinogenesis and progression of NSCLC. The OS of 398  
 patients with low expression was significantly shortened. We 399  
 used siRNA to transfect NSCLC cell lines to knock down 400  
**BTN3A3** expression. The results showed that low expression 401  
 of **BTN3A3** promoted the proliferation, migration and 402  
 invasion of NSCLC cells. We further investigated the 403  
 associations between tumor **BTN3A3** expression, as well

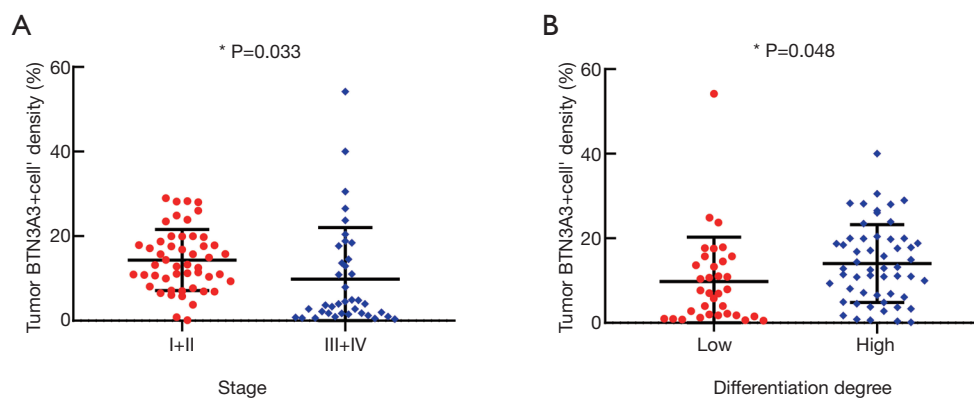


**Figure 4** Expression of specific marker positive cells detected by multiplex immunofluorescence staining. The tumor and stromal areas were divided by CK. (A) Merged image of *BTN3A3*, CD68, CK and DAPI. (B) Merged image of CD4, CD8, FoxP3, CK and DAPI. (C) CK expression (green), (D) DAPI (blue), (E) *BTN3A3* expression (magenta), (F) CD68 expression (orange), (G) CD4 expression (red), (H) CD8 expression (yellow) and (I) FoxP3 expression (white). FoxP3: forkhead box transcription factor P3. CK: cytokeratin. DAPI: 4'-6'-diamidino-2-phenylindole.

404 as that of CD4, CD8, CD68 and FoxP3, in the TME,  
 405 and the clinicopathological characteristics and OS of 88  
 406 NSCLC patients using the multiplex immunofluorescence  
 407 staining technique. We found that the expression of  
 DEMO *BTN3A3* was relatively high in the early clinical stage and

well-differentiated tumors, suggesting that patients with 408  
 low expression may have a more invasive phenotype. In 409  
 addition, the density of *BTN3A3*<sup>+</sup> tumor cells positively 410  
 correlated with the density of CD8<sup>+</sup> T cells in the tumor 411  
 area, suggesting that the expression of *BTN3A3* is closely 412





**Figure 5** Relationship between BTN3A3+ tumor cell density and clinicopathological features. (A) Density of BTN3A3+ tumor cells in patients with clinical I+II stage is significantly higher than that in clinical III+IV stage. (B) Density of BTN3A3+ tumor cells in patients with low tumor differentiation is significantly lower than that in patients with high tumor differentiation. \* $P < 0.05$ .

413 related to immune cell infiltration and immune response.  
 414 In addition, the expression level of BTN3A3 protein was  
 415 associated with the patients' prognosis, and low expression  
 416 showed a poor OS. At present, there are few studies of the  
 417 BTN3A subfamily, especially on *BTN3A3* expression in  
 418 NSCLC. This is the first time *BTN3A3* has been studied at  
 419 the level of gene and protein expressions, and we confirmed  
 420 that low expression of *BTN3A3* promotes the proliferation,  
 421 migration and invasion of NSCLC cells. We quantitatively  
 422 explored the relationship between BTN3A3<sup>+</sup> tumor cells  
 423 and immune cell infiltration in the TME, and our results  
 424 indicated that *BTN3A3* may play a potential role in the  
 425 evaluation of long-term prognosis of NSCLC patients.  
 426 Our study provides a new clinical basis for improving  
 427 our understanding of the mechanism of occurrence and  
 428 development of NSCLC and finding specific prognostic  
 429 indicators and therapeutic targets.

430 Tumorigenesis is usually accompanied by aberrant  
 431 alterations in the expression levels of genes involved in cell  
 432 proliferation, such that the tumor cell growth is uncontrolled,  
 433 and ultimately leads to tumor metastasis. To date, there are  
 434 few studies of changes in the expression of BTN family genes,  
 435 and how they are involved in the process of tumorigenesis. In  
 DEMO an ovarian cancer study, Peedicayil *et al.* found that a single  
 436 nucleotide polymorphism of *BTN3A3* negatively correlated  
 437 with the incidence of ovarian cancer (16). In a gastric cancer  
 438 study, Pan *et al* established an analytical model and confirmed  
 439 that *BTN3A3* can predict the OS of postoperative patients  
 440 receiving fluorouracil chemotherapy (17). In a recent study,  
 441 Liu *et al* reported that LSECtin on the surface of tumor-  
 442 associated macrophages directly interacts with the BTN3A3

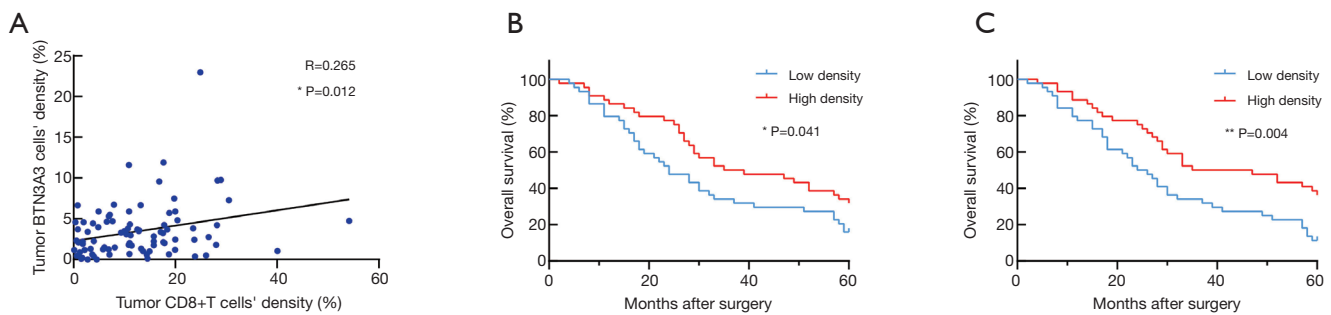
443 on the surface of breast cancer cells, which promoted the  
 444 stemness of breast cancer cells in the TME (18). Blocking the  
 445 LSECtin-BTN3A3 signal axis may be a potential treatment  
 446 for breast cancer. These results suggested that *BTN3A3* may  
 447 also play a key role in regulating the stemness of tumor cells  
 448 in NSCLC, or mediating the escape of tumor stem cells  
 449 through interaction with tumor-associated macrophages. On  
 450 the other hand, during carcinogenesis, tumor formation and  
 451 metastasis, the interaction between tumor cells and stromal  
 452 cells (including immune cells, endothelial cells, fibroblasts,  
 453 etc.) persists in the TME. Both antitumor immunity and  
 454 tumor metastasis require the dynamic participation of a  
 455 variety of immune cells. Therefore, the inclusion of more  
 456 immune cells as the evaluation index of NSCLC would have  
 457 important clinical implications for better prediction of tumor  
 458 initiation, progression, metastasis and recurrence.

459 Tumor infiltrating lymphocytes (TILs) are a heterogeneous  
 460 lymphocyte population that mainly exists in the TME, and  
 461 are closely related to the antitumor immune response. TILs  
 462 have significant effect on facilitating tumor immunity escape  
 463 (22,23). CD8<sup>+</sup> T cells are considered to be effector cells in  
 464 the immune system that directly kill tumor cells. Studies have  
 465 shown that infiltration of a higher number of CD8<sup>+</sup> T cells is  
 466 related to a better clinical outcome of various cancers (including  
 467 hepatocarcinoma, esophageal cancer, colon cancer, and ovarian  
 468 cancer, etc.) (24-27). In our study, we found that the density  
 469 of BTN3A3<sup>+</sup> tumor cells positively correlated with the density  
 470 of CD8<sup>+</sup> T cells in the tumor area, which may be one of the  
 471 reasons for the better survival of patients with high expression  
 472 of *BTN3A3*. However, whether the expression of *BTN3A3*  
 473 affects the function of CD8<sup>+</sup> T cells, and how to the regulatory

**Table 1** Correlation between density of *BTN3A3*<sup>+</sup> tumor cells, *CD4*<sup>+</sup>, *CD8*<sup>+</sup> and *FoxP3*<sup>+</sup> T cells and *CD68*<sup>+</sup> macrophages with patients' clinicopathological characteristics

Variable	N	Tumor												Stroma																	
		BTN <sup>+</sup> cells			CD68 <sup>+</sup> cells			CD4 <sup>+</sup> cells			CD8 <sup>+</sup> cells			FOXP3 <sup>+</sup> cells			CD68 <sup>+</sup> cells			CD4 <sup>+</sup> cells			CD8 <sup>+</sup> cells			FoxP3 <sup>+</sup> cells					
		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value		Mean ± SD (%)	P value				
Sex		0.552	0.907	0.855	0.465	0.038	0.233	0.581	0.093	0.224																					
Male	71	12.07±8.91	2.65±4.73	2.11±2.12	3.56±3.58	1.30±1.07	4.82±4.17	16.20 ±9.28	12.73±6.50	3.9±2.07																					
Female	17	13.67±13.54	2.51±2.76	2.21±1.60	2.90±2.22	2.20±2.92	3.55±2.45	17.61±10.08	9.72±6.80	4.6±2.77																					
Age (years)		0.477	0.546	0.224	0.348	0.177	0.968	0.089	0.775	0.228																					
≤60	39	13.23±10.94	2.94±6.13	1.83±1.99	3.81±4.18	1.21±1.42	4.59±3.31	14.56±8.64	11.92±6.81	3.72±2.00																					
>60	49	11.71±9.05	2.37±2.31	2.36±2.04	3.13±2.52	1.68±1.75	4.56±4.37	17.99±9.77	12.33±6.54	4.3±2.38																					
Smoking status		0.779	0.987	0.139	0.432	0.861	0.538	0.747	0.064	0.921																					
≤400	39	12.72±10.92	2.63±2.81	1.77±1.63	3.12±2.44	1.51±2.12	4.87±3.93	16.11±8.94	10.68±6.41	4.02±2.12																					
>400	49	12.12±9.12	2.62±5.38	2.41±2.27	3.69±3.95	1.44±1.08	4.34±3.93	16.76±9.82	13.31±6.62	4.06±2.33																					
T staging		0.229	0.601	0.134	0.035	0.397	0.358	0.210	0.030	0.930																					
T1+T2	66	13.12±9.34	2.77±4.95	2.32±2.11	3.87±3.58	1.39±1.26	4.80±4.14	17.20±8.94	13.03±6.64	4.03±2.19																					
T3+T4	22	10.17±11.38	2.19±2.11	1.57±1.67	2.13±2.17	1.73±2.42	3.91±3.12	14.29±10.56	9.51±5.97	4.08±2.39																					
Lymph nodes		0.157	0.182	0.385	0.529	0.061	0.419	0.877	0.543																						
Yes	42	10.81±11.02	3.28±5.89	1.93±2.19	3.20±4.14	1.81±2.10	4.93±4.13	16.63±8.86	11.40±7.17	3.89±2.32																					
No	46	13.81±8.64	2.02±2.27	2.31±1.87	3.65±2.46	1.16±0.91	4.25±3.73	16.32±9.95	12.83±6.08	4.18±2.15																					
Stage		0.033	0.105	0.418	0.386	0.03	0.319	0.197	0.847																						
I+II	50	14.33±7.23	1.96±2.15	2.28±2.08	3.71±3.65	1.15±0.89	4.21±3.79	15.34±9.11	12.21±6.02	4.00±2.34																					
III+IV	38	9.81±12.22	3.5±6.18	1.93±1.96	3.08±2.94	1.9±2.18	5.05±4.07	17.96±9.67	12.07±7.42	4.1±2.09																					
Differentiation		0.048	0.329	0.98	0.727	0.427	0.956	0.523	0.970																						
Low	34	9.76±10.52	3.2±6.51	2.12±2.29	3.59±4.44	1.65±1.63	4.6±4.14	15.66±8.00	12.19±6.93	4.05±2.26																					
High	54	14.03±9.21	2.26±2.26	2.13±1.86	3.33±2.50	1.36±1.62	4.56±3.81	16.98±10.21	12.12±6.49	4.04±2.22																					
Histology		0.408	0.723	0.833	0.564	0.761	0.166	0.100	0.570																						
LUAD	35	11.30±11.47	2.83±2.64	2.19±1.83	3.69±2.82	1.41±1.49	5.29±3.44	18.50±9.52	11.33±6.91	3.88±2.26																					
LUSC	53	13.10±8.76	2.49±5.28	2.09±2.16	3.27±3.69	1.51±1.71	4.1±4.16	15.13±9.15	12.69±6.43	4.15±2.22																					

LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma.



**Figure 6** Relationship between *BTN3A3*+ tumor cells and immune cell infiltration, and effects of different immunophenotypic cells on OS. (A) *BTN3A3*+ tumor cell density positively correlates with CD8+ T-cell density in the tumor area. (B) Low *BTN3A3*+ tumor cell density associated with poor OS of NSCLC patients. (C) High CD8+ T-cell density in tumor area associated with better OS of the NSCLC patients. \* $P < 0.05$ . OS, overall survival; NSCLC, non-small cell lung cancer.

474 role plays out between them, need to be further studied. In  
 475 addition to inhibiting CD8<sup>+</sup> T cells, another mechanism for  
 476 tumor cells' immune escape is to recruit T regulatory cell  
 477 (Tregs) into the TME (28,29). Tregs are a specific T-cell  
 478 subset. Natural Tregs (nTregs) have mature functions, which  
 479 depend on the expression of forkhead box transcription factor  
 480 P3 (FoxP3) (30,31). nTregs functionally inhibit the activation  
 481 or proliferation of a variety of immune cells, then suppresses  
 482 the immune response, and finally promote tumor growth  
 483 and metastasis. Therefore, many studies have confirmed that  
 484 the existence of Tregs is negatively associated with tumor  
 485 prognosis (32,33). In this study, we did not find a significant  
 486 correlation between density of *BTN3A3*<sup>+</sup> tumor cells and the  
 487 density of FoxP3<sup>+</sup> T cells in the tumor or stroma. On the one  
 488 hand, it is considered that the expression of *BTN3A3* in tumor  
 489 cells is not an influencing factor for FoxP3<sup>+</sup> T-cell infiltration.  
 490 However, patients with low *BTN3A3* expression show a late  
 491 tumor stage and lower degree of differentiation, indicating that  
 492 low expression of *BTN3A3* and FoxP3<sup>+</sup> T-cell infiltration may  
 493 be differently involved in the regulation of tumor immunity  
 494 escape. In addition, we did not find a relationship between  
 495 the expression of *BTN3A3* in tumor cells and CD4<sup>+</sup> T-cell  
 496 infiltration. Considering that CD4<sup>+</sup> T cells comprise multiple

heterogeneous cell subsets (34,35), different subsets may  
 play various roles in the TME, so it is also unknown whether  
*BTN3A3* is involved in the regulation of the different subsets of  
 CD4<sup>+</sup> T cells. Finally, the relationship between the expression  
 of *BTN3A3* and CD68<sup>+</sup> macrophage infiltration has not been  
 found; however, the latest study has preliminarily proved that  
 the target of LESCtin protein expressed on macrophages  
 is the *BTN3A3* protein expressed on the surface of breast  
 cancer stem cells, which could help us understand the function  
 of *BTN3A3* in the future, explore the mechanism of tumor  
 metastasis, and provide an important reference for monitoring  
 and evaluating the prognosis of NSCLC.

In conclusion, downregulated expression of *BTN3A3* in  
 NSCLC is related to invasive clinicopathological features  
 and poor OS. Low expression of *BTN3A3* promotes the  
 proliferation, migration and invasion of NSCLC cells.  
 In the TME, the expression of *BTN3A3* in tumor tissue  
 positively correlated with infiltration of CD8<sup>+</sup> T cells, which  
 may be an important factor affecting long-term survival.  
 This study reveals a new suppressor gene involved in the  
 carcinogenesis of NSCLC, which is expected to become  
 a potential prognostic marker and therapeutic target for  
 NSCLC patients.

**Table 2** Correlation between density of BTN3A3<sup>+</sup> tumor cells, CD68<sup>+</sup> macrophages, and CD4<sup>+</sup>, CD8<sup>+</sup>, and FoxP3<sup>+</sup> T cells

Variable	BTN+ cell density in tumor		CD68+ cell density in tumor		CD4+ cell density in tumor		CD8+ cell density in tumor		FoxP3+ cell density in tumor		CD68+ cell density in stroma		CD4+ cell density in stroma		CD8+ cell density in stroma		FoxP3+ cell density in stroma	
	r	P value	r	P value	r	P value	r	P value	r	P value	r	P value	r	P value	r	P value	r	P value
BTN+ cell density in tumor	-	-	0.114	0.292	0.200	0.062	0.265	0.012	0.030	0.782	-0.030	0.781	0.040	0.714	0.131	0.225	-0.010	0.927
CD68+ cell density in tumor	0.114	0.292	-	-	0.322	0.002	0.151	0.161	0.186	0.083	0.447	<0.001	0.122	0.259	0.054	0.619	0.154	0.151
CD4+ cell density in tumor	0.200	0.062	0.322	0.002	-	-	0.322	0.002	0.245	0.021	-0.006	0.957	0.573	<0.001	0.281	0.008	0.238	0.026
CD8+ cell density in tumor	0.265	0.012	0.151	0.161	0.322	0.002	-	-	0.105	0.328	0.061	0.574	0.224	0.036	0.558	<0.001	0.130	0.227
FoxP3+ cell density in tumor	0.030	0.782	0.186	0.083	0.245	0.021	0.105	0.328	-	-	0.022	0.840	-0.008	0.944	0.148	0.169	0.352	0.001
CD68+ cell density in stroma	-0.030	0.781	0.447	<0.001	-0.006	0.957	0.061	0.574	0.022	0.840	-	-	0.024	0.825	-0.038	0.727	-0.135	0.211
CD4+ cell density in stroma	0.040	0.714	0.122	0.259	0.573	<0.001	0.224	0.036	-0.008	0.944	0.024	0.825	-	-	0.368	<0.001	0.161	0.134
CD8+ cell density in stroma	0.131	0.225	0.054	0.619	0.281	0.008	0.558	<0.001	0.148	0.169	-0.038	0.727	0.368	<0.001	-	-	0.119	0.269
FoxP3+ cell density in stroma	-0.010	0.927	0.154	0.151	0.238	0.026	0.130	0.227	0.352	0.001	-0.135	0.211	0.161	0.134	0.119	0.269	-	-

r, correlation coefficient.

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525

526

527 **Footnote**

528

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538 [org/10.21037/atm-21-163](http://dx.doi.org/10.21037/atm-21-163)). The authors have no conflicts  
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540

541 *Ethical Statement:* The authors are accountable for all  
542 aspects of the work in ensuring that questions related  
543 to the accuracy or integrity of any part of the work are  
544 appropriately investigated and resolved. This study was  
545 approved by the Ethics Committee of Beijing Chest  
546 Hospital (No. YJS-2021-010). All procedures performed in  
547 this study involving human participants were in accordance  
548 with the Declaration of Helsinki (as revised in 2013).  
549 Informed consent was taken from all the patients.

550

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**Table S1** Characteristics of patients with *BTN3A3* gene expression detected by quantitative fluorescence PCR

Variable	Low expression (N=38)	High expression (N=37)	$\chi^2$	P value
Age			0.11	0.740
≤60 years old	30	28		
>60 years old	8	9		
Gender			1.07	0.300
Male	15	19		
Female	23	18		
Tumor size			6.36	0.042
≤30 mm	11	20		
30–50 mm	18	9		
>50 mm	9	8		
Lymph nodes			1.38	0.240
Positive	14	9		
Negative	24	28		
Histology			4.80	0.030
LUSC	26	16		
LUAD	12	21		
Stage			6.08	0.048
I	10	20		
II	12	8		
III	16	9		
Chemotherapy			0.01	0.930
Yes	15	15		
No	23	22		
Radiotherapy			0.19	0.660
Yes	3	4		
No	35	33		

LUSC, lung squamous cell carcinoma; LUAD, lung adenocarcinoma.

**Table S2** Characteristics of patients with BTN3A3<sup>+</sup> tumor cells detected by multiplex immunofluorescence staining

Variables	Low cell density		High cell density		$\chi^2$	P value
	N=44	Percentage (%)	N=44	Percentage (%)		
Gender					0.66	0.418
Male	34	77.3	37	84.1		
Female	10	22.7	7	15.9		
Age					0.41	0.520
≤60 years old	18	40.9	21	47.7		
>60 years old	26	59.1	23	52.3		
Smoking status					0.05	0.830
≤400	20	45.5	19	43.2		
>400	24	54.5	25	56.8		
T staging					2.18	0.140
T1+T2	30	68.2	36	81.8		
T3+T4	14	31.8	8	18.2		
Lymph nodes					1.64	0.200
Yes	24	54.5	18	40.9		
No	20	45.5	26	59.1		
Stage					6.67	0.010
I+ II	19	43.2	31	70.5		
III+ IV	25	56.8	13	29.5		
Differentiation					4.79	0.029
Low	22	50.0	12	27.3		
High	22	50.0	32	72.7		
Histology					1.19	0.276
LUAD	20	45.5	15	34.1		
LUSC	24	54.5	29	56.9		

LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma.



**Table S3** Univariate and multivariate analyses of overall survival in patients with different BTN3A3<sup>+</sup> tumor cell density

Variables	Total numbers of patients/ death (N/n)	Univariate analysis		Multivariate analysis	
		Median survival	95% CI (month)	Log-rank P value	HR (95% CI)
Gender				0.076	
Male	71/57	28.0	(24.330, 31.670)		
Female	17/10	58.0	(41.865, 74.135)		
Age				0.034	0.011
≤60 years old	39/26	37.0	(13.751, 60.249)		0.50 (0.29–0.86)
>60 years old	49/41	27.0	(21.285, 32.715)		Reference
Smoking status				0.162	
≤400	39/26	33.0	(10.975, 55.025)		
>400	49/41	28.0	(21.141, 34.859)		
T staging				0.005	0.842
T1+T2	66/47	33.0	(15.095, 50.905)		0.93 (0.47–1.85)
T3+T4	22/20	18.0	(8.807, 27.193)		Reference
Lymph nodes				<0.001	0.091
Positive	42/40	26.0	(22.035, 29.965)		1.75 (0.91–3.36)
Negative	46/27	52.0	(30.952, 73.048)		Reference
Stage				<0.001	0.159
I+ II	50/31	52.0	(30.056, 73.944)		0.58 (0.27–1.24)
III+IV	38/36	24.0	(16.449, 31.551)		Reference
Differentiation				0.287	
Low	34/28	30.0	(24.296, 35.704)		
High	54/39	29.0	(21.799, 36.201)		
Histology				0.073	
LUAD	35/23	33.0	(20.292, 45.708)		
LUSC	53/44	27.0	(21.905, 32.095)		
Chemotherapy				0.468	
Yes	51/41	29.0	(26.376, 31.624)		
No	37/26	33.0	(7.178, 58.822)		
Radiotherapy				0.049	0.264
Yes	17/16	28.0	(15.899, 40.101)		1.45 (0.76–2.79)
No	71/51	33.0	(23.115, 42.885)		Reference

Table S3 (continued)

**Table S3** (continued)

Variables	Total numbers of patients/ death (N/n)	Univariate analysis		Multivariate analysis	
		Median survival 95% CI (month)	Log-rank P value	HR (95% CI)	P value
BTN+ cells' density in tumor			0.041		0.362
Low	44/37	24.0 (16.424, 31.576)		1.28 (0.75–2.18)	
High	44/30	35.0 (14.415, 55.585)		Reference	
CD68+ cells' density in tumor			0.858		
Low	44/34	32.0 (23.333, 40.667)			
High	44/33	28.0 (23.671, 32.329)			
CD4+ cells' density in tumor			0.864		
Low	44/33	30.0 (24.434, 35.566)			
High	44/34	29.0 (20.333, 37.667)			
CD8+ cells' density in tumor			0.004		0.147
Low	44/39	24.0 (18.428, 29.572)		1.51 (0.87–2.62)	
High	44/28	35.0 (14.570, 55.430)		Reference	
FoxP3+ cells' density in tumor			0.064		
Low	44/31	37.0 (14.248, 59.752)			
High	44/36	25.0 (18.499, 31.501)			
CD68+ cells' density in stroma			0.268		
Low	44/31	30.0 (15.930, 44.070)			
High	44/36	28.0 (22.312, 33.688)			
CD4+ cells' density in stroma			0.982		
Low	44/33	28.0 (19.642, 36.358)			
High	44/34	30.0 (24.588, 35.412)			
CD8+ cells' density in stroma			0.167		
Low	44/37	28.0 (21.499, 34.501)			
High	44/30	30.0 (22.416, 37.584)			
FoxP3+ cells' density in stroma			0.601		
Low	44/34	26.0 (16.249, 35.751)			
High	44/33	30.0 (21.642, 38.358)			

LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma.