Supplementary file (Part 2 paper)

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 Table S2-1 Adjusted perioperative morbidity and mortality studies

 Ordered by confidence that results reflect the effect of the treatment, resection extent

oidity	djusted HR	HR P		1	1	1	1	ı 	1	.93 [†] NS	1	1 1	.29 .048		1
âr ≥3 Mort	ed % A	Lobe	<mark>0</mark> م	6.7 ^d	12.4 ^d	13.1 ^d	8.4 ^d	1	1	8 [°] 0	I	ı	10 C	1	1
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perativ	ed %	Lobe	1.9 ^d	1.0 ^d	2.9 ^d	2.9 ^d	2.3 ^d	3.5 ^e	1.6	0.4 ^e	1.6 ^e	1.6 ^e	0.9 ^e	2.2 ^e	ı
Perio	Adjust	Seg/W	1.2	0.8	1.8	1.4	1.7	3.3 [°]	0.8	0.8 $^{\circ}$	1.6 ^e	1.5 ^e	0 ^e	2 ^{e,g}	I
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		COLIFICIENTS		VATS	Open	FEV1 <60%	Age ≥80		Age	VATS			Age ≥75		Age ≥75
stics	Lobe	VS.	>	W VATS	W Open	W FEV1 <60%	W Age ≥80	SL	SL Age ≥65, VAT	Seg VATS	Seg	8	SL Age ≥75	SL	SL Age ≥75
aracteristics	Lobe		ci-IIIA W	cI-IIIA W VATS	cl-IIIA W Open	cl-IIIA W FEV1 <60%	cl-IIIA W Age ≥80	cl-IIA SL	cl-IIA SL Age ≥65, VAT	Mix Seg VATS	cIA1,2 Seg	cIA1,2 W	cl-IIA SL Age ≥75	cl-IIIA SL	cl-IIIA SL Age ≧75
udy characteristics	Lobe		09-11 cl-IIIA W	09-11 cI-IIIA W VATS	09-11 cl-IIIA W Open	09-11 cI-IIIA W FEV1 <60%	09-11 cl-IIIA W Age ≥80	04-13 cI-IIA SL	14-17 cl-IIA SL Age ≥65, VAT	14-17 Mix Seg VATS	03-11 cIA1,2 Seg	03-11 cIA1,2 W	07-15 cl-IIA SL Age ≥75	03-11 cI-IIIA SL	03-11 cl-IIIA SL Age ≥75
Study characteristics		N TIS Drage VS.	7,466 ° 09-11 cl-IIIA W	5,288° 09-11 cI-IIIA W VATS	2,004 ° 09-11 cl-IIIA W Open	1,872 ° 09-11 cI-IIIA W FEV1 <60%	1,068 ° 09-11 cI-IIIA W Age ≥80	75,114 04-13 cl-IIA SL	244 ° 14-17 cl-IIA SL Age ≥65, VAT	690 14-17 Mix Seg VATS	20,944 03-11 cIA1,2 Seg	27,015 03-11 cIA1,2 W	205 07-15 cl-IIA SL Age ≥75	71,171 03-11 cl-IIIA SL	19,083 03-11 cI-IIIA SL Age ≥75
Study characteristics		Source IN TIS Stage VS.	STS 7,466 ° 09-11 cI-IIIA W	STS 5,288 ° 09-11 cI-IIIA W VATS	STS 2,004 ° 09-11 cl-IIIA W Open	STS 1,872 ° 09-11 cl-IIIA W FEV1 <60%	STS 1,068 ° 09-11 cl-IIIA W Age ≥80	NCDB 75,114 04-13 cl-IIA SL	China x10 244 ° 14-17 cl-lIA SL Age ≥65, VAT	Swiss x2 690 14-17 Mix Seg VATS	NCDB 20,944 03-11 clA1,2 Seg	NCDB 27,015 03-11 clA1,2 W	Japan x1 205 07-15 cl-IIA SL Age ≥75	NCDB 71,171 03-11 cI-IIIA SL	NCDB 19,083 03-11 cI-IIIA SL Age ≥75

or wedge resection vs lobectomy, 2000–21, ≥50 patients per arm. Reference is lobectomy (HR <1 means lower morbidity/mortality for segment/wedge); Light green shading highlights statistically significant difference (lighter shade = univariable; darker = multivariable); Bold inclusion criteria: studies using multivariable of propensity adjustment to compare perioperative morbiolity/mortality after segmentectomy nighlights differences that are somewhat clinically meaningful (see definition in Part 1 paper).

8th edition stage classification (reported stage is translated into current 8th edition nomenclature for the sake of uniformity and contemporary application); ^b, time period for assessment of Morbidity and Mortality (days); ^c, propensity matched pairs (total); ^d, includes obectomy and segmentectomy; ^e, Unadjusted data; ^f, cardiopulmonary complications (any grade); ^g, data for wedge resection

Confid RE tmt effect, Confidence that results reflect the effect of the treatment (extent of resection) vs. confounding factors; FEV1, forced expiratory volume in 1 second; H, high confidence; HR, hazard ratio; L, low confidence; Lobe, lobectomy; M, moderate confidence; Mix, mixture of a variety of diagnoses (NSCLC, metastases, benign); NCDB, US national cancer database; NS, not statistically significant; Seg, segmentectomy; SL, sublobar resection (segmentectomy or wedge); VATS, video-assisted thoracic surgery; VH, very high confidence; W, wedge; Yrs, years (of patient accrual).

	Segment (vs. Lobe)			Wedge (vs. Lobe)			Wedge (vs. segment)				
	Effect	Conf		Effect	Conf		Effect	Conf			
Short-term (90-day) outcomes											
Mortality	=	++++		=	+++		=	+			
Morbidity	=	+++		=	+++		=	+			
QOL 30-day	= ^a	0		= ^a	0		-	-			
QOL 90-day	= ^a	0		= ^a	0		-	-			
Pain VATS	= ^a	0		= ^a	0		-	-			
Pain open	= ^a	0		= ^a	0		-	-			
Intermediate (1-2 year) outcomes											
Δ FEV1	=	++		= /↑	0		-	-			
Dyspnea	=/↑ ^a	0		=/↑ ^a	0		-	-			
QOL VATS	= ^a	0		= ^a	0		-	-			
Pain VATS	= ^a	0		= ^a	0		-	-			
QOL open	= ^a	0		= ^a	0		-	-			
Pain open	= ^a	0		= ^a	0		-	-			
Long-term (5-year) outcomes											
OS	Ļ	+		↓↓	++		Ļ	+			
LCSS	Ļ	+		Ļ	+		Ļ	+			
FFR	=/↓ ^a	0		=/↓ ^a	0		-	-			
LR- FFR	=/1 ^a	0		=/1 ª	0		-	-			

Table S2-2 Summary of evidence in generally healthy patients with typical (i.e., solid) tumors

Qualitative assessment of the impact of treatment approaches on various key outcome measures and the confidence in the evidence. Differences are categorized by degree of clinically meaningful differences as defined in the legend insert. The reference (for improvement or worsening) is the treatment in parentheses.

	Effect		Confidence in / con-			
↑↑↑	2x meaningful	sistency of evidence				
	improvement					
$\uparrow\uparrow$	Meaningful improvement		++++	Very High		
Î	Somewhat better		+++	High		
=	Similar		++	Moderate		
\downarrow	Somewhat worse		+	Low		
$\downarrow\downarrow$	Meaningful worsening		0	Very Low		
$\downarrow\downarrow\downarrow\downarrow$	2x meaningful worsening		Extpol	Extrapolation		

A clinically "meaningful" difference is defined as \geq 10-unit difference, with "somewhat" being half of the meaningful difference. The units of measure (for categories in parentheses) are: normalized scale points (QOL); 5-year actuarial rate (OS, LCSS); actuarial rate or simple incidence (recurrence, FFR); incidence of Gr \geq 3 treatment related complications (morbidity); absolute change in % FEV1 (PFTs in compromised patients). Different thresholds of "meaningful" are: 90-day mortality (2% difference); PFTs in healthy patients (20% difference in FEV1%).

^a data for sublobar resection not parsed out to segment or wedge.

 Δ FEV1, change in FEV1 \geq 6 months; Conf, confidence in the evidence; Extpol, extrapolation (indirect evidence); FFR, freedom from recurrence (only recurrence counts as an event); Gr, grade; HR, hazard ratio; LCSS, lung cancer specific survival (only death due to lung cancer counts as an event); Lobe, lobectomy; LR-FFR, locoregional freedom from recurrence; OS, overall survival; PFT, pulmonary function tests; QOL, quality of life; VATS, video-assisted thoracic surgery.



Segmentectomy vs. Lobectomy

Figure S2-1 Graphic depiction of outcomes in Table 1: segmentectomy vs. lobectomy.



Wedge/Sublobar vs. Lobectomy

Figure S2-2 Graphic depiction of outcomes in Table 2: wedge/sublobar resection vs. lobectomy.



Wedge vs. Segmentectomy

Figure S2-3 Graphic depiction of outcomes in Table 3: wedge vs. segmentectomy.

Legend (Figures S2-1,S2-2,S2-3): Graphic depiction of outcomes in *Tables 1-3*. Figure rows correspond to the respective table rows. Also depicted is the confidence that the outcomes reflect the treatment (*vs.* confounders), the level of clinical relevance and statistical significance.

Confidenc the t	e results reflect reatment	Relevance of effect				
VH	Very High	$\uparrow\uparrow\uparrow$	2x meaningfully better			
н	High	$\uparrow\uparrow$	Meaningfully better			
М	Moderate	1	Somewhat better			
L	Low	=	Similar			
VL	Very Low	\downarrow	Somewhat worse			
See Table 1 for details		$\downarrow\downarrow$	Meaningfully worse			
			2x meaningfully worse			

The HR reference is the larger resection, i.e., HR >1 reflects worse outcome compared with lobectomy (or segmentectomy in Figure S2-3).

Red font indicates unadjusted survival rates.

* reported as statistically significant by univariable analysis; ** reported as statistically significant by multivariable analysis; Clin Rel, clinical relevance of effect. A clinically relevant difference is defined as ≥5-point difference in the 5-year actuarial rate (overall survival, lung cancer specific survival). Details of this categorization is provided in the Part 1 paper (*Tab. S1-1*) (8). HR, hazard ratio; Lobe, lobectomy; Seg, segment; SL, sublobar resection; W, wedge; yrs, years.

Appendix 2-1: Tools to assess confidence in cause and effect attribution to the interventions in question

Assessment for confounding

ROBINS-I assessment

The Risk of Bias in Non-randomized Studies of Interventions (ROBINS-I) tool was used to assess included studies (9). This validated tool has gained acceptance for observational studies. The process involves identification of domains of bias for particular interventions, assessment of each study for potential bias relative to confounders and co-interventions in each domain, and aggregation of individual assessments into an overall risk of bias across studies. Studies are categorized as "low risk" if comparable to a well-done RCT, "moderate" is sound for a NRC but not comparable to a RCT, "serious" if at least one domain is not measured or controlled, and "critical risk" if internal or external data suggests residual confounding. It is suggested that critical studies be excluded from any systematic review (9).

In application of this tool, we found few that were low risk (2%), some that were moderate (18%); most were either serious (34%) or critical risk (45%). This illustrates problematic aspects of the ROBINS-I tool for our purpose. It is a generic tool designed largely to eliminate weak evidence. However, clinical care seeks to glean whatever information can be found; valuation rather than elimination seems more conducive to gaining an understanding of the strengths and pitfalls of the full scope of evidence. Furthermore, assessing the full spectrum of adjusted NRCs promotes uncovering reasons for discrepant results and nuances of which patients, tumors, and settings provide more convincing signs of efficacy.

Adapted assessment tool specific for this project

We adapted the ROBINS-I approach to the specific nature of our project. We identified 7 domains of potential confounding (detailed below) for the major long-term outcomes. We adopted a detailed approach that allows exploration of specific areas of confounding or patient and study characteristics. We adapted the rating of confounding, shifting from eliminating studies with potential confounding to assessing the impact of confounding on attribution of outcomes to the intervention of interest. This recognizes that the impact of unaddressed confounders can sometimes be ameliorated by the setting and study characteristics.

Domains of potential confounding Non-medical patient-related factors

Non-medical patient factors include age, sex, race, marital status, education level and income level. These factors have all been associated with long term outcomes in lung cancer patients (10,11). They can be thought of as influencing how aggressively patients want to be treated. Examples of factors that can affect the impact of such confounding include age cohorts under consideration, facility location, study region/ country (i.e. that might create greater or lesser uniformity of the study cohorts).

Medical patient-related factors

Comorbidities are more common in patients diagnosed with lung cancer than in a general population of similar age (12); these can account for competing causes of death. Most often a general measure of comorbidities such as the Charlson score is available. Such composite measures don't differentiate specific comorbidities or their severity. Ideally, additional information is available (e.g. FEV1, Performance status [PS]). Co-morbidities should not impact LCSS, since only a death due to lung cancer is counted as an event. (Consistent effect for OS and LCSS argues against major comorbidity confounding for OS).

Stage accuracy

The method and thoroughness of stage assessment differs among the interventions in question (e.g., wedge resections are often Nx). Additionally, until recently the SEER database only recorded best stage (clinical for non-surgical interventions, pathologic for surgery). Mitigating factors for discrepancies in stage assessment include use of PET, invasive mediastinal staging, risk of node involvement according to tumor characteristics (size, GG component).

Study time span

Often outcome studies encompass many years. The impact of trends over time is complicated. The proportion of resections involving sublobar resection is increasing as is the use of SBRT and ablation (13-16). The use of VATS is increasing, as is PET (17,18). There is also a trend towards detecting smaller size lung cancers, and an increase in lung cancers with a ground glass component (14,19,20). All of these factors potentially confound interpretation of studies: changing nature of tumors, type of resection, type of surgeon/radiotherpist and facilities at which they are performed—all of which are associated with differences in long-term outcomes.

Examples of factors contributing to the impact include the duration of the time span, whether adjustment is dichotomized or more differentiated, whether PET was used consistently, interactions with facility characteristics, tumor characteristics (size, GG component) and whether these are accounted for.

Setting characteristics

Facility characteristics are associated with discrepancies in the use of treatment modalities. For example, wedge resection may be associated with both the lowest volume and the highest volume hospitals, non-thoracic surgeons and nonacademic hospitals (13,21), and regional discrepancy in the use of SBRT and ablation is well documented (15). There are likely interactions between the setting and characteristics like details of pre-treatment evaluation, how tumors are detected, timeliness of care. Mitigating factors include the nature of the data source, breadth of facilities in question.

Treatment quality

Different treatment approaches may be associated with differences that affect outcomes, for example margin extent, use of adjuvant therapy, discrepancy in technical treatment factors (e.g. VATS), conversion to lobectomy if margins or nodes are concerning. All of these can produce discrepancies in factors other than the treatment intervention itself that can affect outcomes.

Favorable tumors

It is likely that tumors deemed more favorable are selected for lesser interventions (e.g. mostly GG, low PET activity, slow growth). It is clear that CT screening as well as incidental detection leads to an increased proportion of biologically more indolent tumors (22-24). Tumors with a ground glass (GG) appearance have a better prognosis (25). The presence of even a small GG component is associated with better outcomes (26,27). Prognosis correlates with the size of the solid component, not the GG component (25,28-33).

Methods of multivariable adjustment

Research involving large databases can provide an assessment of effects of a treatment in the "real world." However, ascribing an observed difference in outcomes to an intervention of interest requires assuming that nothing else is different—regarding the patients, the setting, the measurement of the outcomes etc. Since this is almost always not true, adjustment is necessary to mitigate the effect of confounding. It has become common to use propensity score analysis to accomplish this. It is worth explicitly noting several principles of this method. First, it can only adjust for known and observed factors - unmeasured factors remain a problem (e.g. severity of a condition, assessment of frailty). Second, propensity score analysis requires the assumption that any factors not included in the adjustment are "ignorable"i.e., not associated with who will or will not receive the intervention in question (34). Indeed, derivation of the propensity score should include all factors that may be related to the outcomes and/or the treatment decision (but not those related to outcomes alone) (35). However, most outcomes studies of limited resection or SBRT have omitted adjustment for factors that are clearly related to the choice of treatment (e.g., sicker patients, favorable tumors, type of treatment facility, time period). Third, the ability of propensity scores to mitigate the effect of confounding is variable; it depends on which adjustment method is used, characteristics of the population (e.g., whether treated and control groups are markedly skewed, have a large amount of overlap or one is contained in the other, number of events) (35-37).

There are many ways of using the propensity score to adjust for confounding: the most common are (I) propensity adjustment (PA) that uses the propensity score as an additional variable in a multivariable model, (II) propensity matching (PM), involving creation of 2 subsets (treatment and control) in which each treatment patient is paired with a control patient with an equal (or nearly equal) propensity score, (III) stratification, usually into quintiles, of the entire study population (PQ), with assessment of the treatment effect in each, and 4) inverse propensity weighting (PW) in which treated patients that were less likely to be treated (and vice versa) are weighed more heavily, essentially creating an equalized pseudopopulation. Which method is best depends on many factors: e.g., PM is not ideal with small samples, PW does not perform well in skewed populations, and PQ in survival analyses, but this is an oversimplification (35-37).

Because details of the propensity score development and the type of analysis affect how well the process can mitigate confounding effects, it is beneficial to perform additional analyses (different methods of adjustment, age groups, tumor size categories). Such additional analyses do not adjust for unmeasured factors or prove that they are ignorable, but if the observed effect is consistent it provides a degree of increased confidence that it is related to the intervention in question; in contrast if it is inconsistent there should be significant caution in attributing the effect in any one group to the intervention of interest. While specific techniques can diminish some of the limitations of each method, the complexity underscores that propensity score adjustment does not guarantee that an observed effect is related to the intervention in question.

Finally, it is not clear that propensity analysis adjusts for confounders better than multivariable adjustment models (e.g., cox regression) (35,36). Multivariable regression models the relationship between multiple covariates and outcome. Because simultaneous adjustment for multiple confounders is complex, a substantial sample size is needed-it is generally accepted that about 10 events are required for each included covariate. Propensity scoring models the relationship between confounders and the treatment assignment, thus collapsing all confounders into a single propensity score. In theory, propensity techniques may have an advantage when the number of confounders is large and the number of events is small. However, analyses have not clearly demonstrated that propensity methods provide a more accurate estimate of treatment effect than multivariable methods (35,36).

Assessment process

Two individuals independently assessed each study using the adapted tool; differences were resolved by discussion or a third assessment. There was agreement in most cases or only minor differences regarding adjacent degrees of concern in individual domains. It was rare that resolution of discrepant evaluations changed the overall study rating. Results of the consensus assessment are shown in the relevant tables. Additionally, each study was assessed using the ROBINS-I tool. Our adapted rating was generally consistent with the ROBINS-I rating, although our scale allowed a more differentiated range (we avoided the threshold for a NRC of being comparable to a well-done RCT, and tried to understand critical confounders instead of a threshold of "one and you're out" approach).

Additional information

Further detail (individual rating results, reasons for ratings etc.) available if desired.

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